

### Research Articles: Cellular/Molecular

### Activating Transcription Factor 4 (ATF4) regulates neuronal activity by controlling GABA<sub>B</sub>R trafficking

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### 20 ABSTRACT

21 Activating Transcription Factor 4 (ATF4) has been postulated as a key regulator of learning and 22 memory. We previously reported that specific hippocampal ATF4 down-regulation causes deficits 23 in synaptic plasticity and memory and reduction of glutamatergic functionality. Here we extend our studies to address ATF4's role in neuronal excitability. We find that long-term ATF4 24 25 knockdown in cultured rat hippocampal neurons significantly increases the frequency of 26 spontaneous action potentials. This effect is associated with decreased functionality of 27 metabotropic GABA<sub>B</sub> receptors (GABA<sub>B</sub>Rs). Knocking down ATF4 results in significant reduction of GABABR-induced GIRK-currents and increased mIPSCs frequency. Furthermore, reducing 28 29 ATF4 significantly decreases expression of membrane-exposed, but not total, GABABR 1a and 30 1b subunits, indicating that ATF4 regulates GABA<sub>B</sub>R trafficking. In contrast, ATF4 knockdown has no effect on surface expression of GABA<sub>B</sub>R2s, several GABA<sub>B</sub>R-coupled ion channels or β2 and 31 32 Q2 GABA<sub>A</sub>Rs. Pharmacologic manipulations confirmed the relationship between GABA<sub>B</sub>R 33 functionality and action potential frequency in our cultures. Specifically, the effects of ATF4 down-34 regulation cited-above are fully rescued by transcriptionally active, but not by transcriptionally-35 inactive, shRNA-resistant, ATF4. We previously reported that ATF4 promotes stabilization of the actin-regulatory protein Cdc42 by a transcription-dependent mechanism. To test the hypothesis that this action underlies the mechanism by which ATF4 loss affects neuronal firing rates and GABA<sub>B</sub>R trafficking, we down-regulated Cdc42 and found that this phenocopies the effects of ATF4 knockdown on these properties. In conclusion, our data favor a model in which ATF4, by regulating Cdc42 expression, affects trafficking of GABA<sub>B</sub>Rs, which in turn modulates the excitability properties of neurons.

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Significance statement: GABA<sub>B</sub> receptors (GABA<sub>B</sub>Rs), the metabotropic receptors for the 43 44 inhibitory neurotransmitter GABA, have crucial roles in controlling the firing rate of neurons. 45 Deficits in trafficking/functionality of GABA<sub>B</sub>Rs have been linked to a variety of neurological and 46 psychiatric conditions, including epilepsy, anxiety, depression, schizophrenia, addiction, and pain. 47 Here we show that GABABRs trafficking is influenced by Activating Transcription Factor 4 (ATF4), 48 a protein that has a pivotal role in hippocampal memory processes. We found that ATF4 down-49 regulation in hippocampal neurons reduces membrane-bound GABA<sub>B</sub>R levels and thereby 50 increases intrinsic excitability. These effects are mediated by loss of the small GTPase Cdc42 following ATF4 down-regulation. These findings reveal a critical role for ATF4 in regulating the 51 modulation of neuronal excitability by GABA<sub>B</sub>Rs. 52

53

### 54 Introduction

55 Normal cognitive functions rely on the balance of neuronal excitability properties throughout the brain as well as on synaptic plasticity (Beck and Yaari, 2008). Among the many proteins reported 56 to influence cognition, mounting evidence suggests a pivotal role for Activating Transcription 57 Factor 4 (ATF4), an ubiquitously expressed member of the ATF/CREB transcription factor family 58 of basic leucine zipper proteins. In addition to its well-known functions as a stress-induced protein 59 60 (Ameri and Harris, 2008), a number of studies have implicated ATF4 in synaptic plasticity and in 61 learning and memory. Depending on cellular context, ATF4 has been characterized as either an 62 inhibitor or promoter of synaptic plasticity (Pasini et al., 2015). Similarly divergent suggestions 63 about ATF4's functions in learning and memory have been advanced, but these are largely based 64 on indirect and non-selective manipulation of ATF4 activity or expression (Chen et al., 2003; Costa-Mattioli et al., 2007). To probe directly ATF4's role in normal brain function, we have 65 66 monitored the consequences of its knockdown or knockout in neuronal culture and in animals. 67 This has led to observations that ATF4 plays a role in regulation of mushroom dendritic spine 68 density as well as in synaptic glutamatergic function (Liu et al., 2014). These effects appeared to be due to ATF4's direct transcriptional regulation of RhoGDIg (product of the Arhgdia gene), which 69 70 in turn affects stability of the Rho family member Cdc42 that is involved in regulation of the actin cytoskeleton (Pasini et al., 2016). At the physiological level, loss of ATF4 manifested in reduced 71 72 frequency and amplitude of mEPSCs, followed by defective LTP and LTD as well as in memory 73 deficits (Pasini et al., 2015). Of relevance, similar deficiencies in plasticity and memory have been 74 observed after conditional Cdc42 knockout in brain (Kim et al., 2014). In the context of ATF4's 75 role in neuronal functionality, one area of interest is in its relation to GABA<sub>B</sub> receptors (GABA<sub>B</sub>Rs). 76 the G-protein-linked metabotropic receptors for the inhibitory neurotransmitter GABA. Several

77 studies have shown direct association of GABA<sub>B</sub>Rs with ATF4 (Nehring et al., 2000; White et al., 2000; Vernon et al., 2001; Ritter et al., 2004) while another study reported that ATF4 differentially 78 regulates activity of promoters for the GABA<sub>B</sub>Rs subunits 1a and 1b (Steiger et al., 2004). 79 GABA<sub>B</sub>Rs are widely expressed in brain and regulate neuronal excitability by modulating activity 80 81 of G protein-gated inwardly rectifying K<sup>+</sup> channels (GIRKs), voltage-gated Ca<sup>2+</sup> channels and 82 adenylyl cyclase (Gassmann and Bettler, 2012). Activation of GABABRs has been reported to 83 hyperpolarize and decrease the threshold, while deactivation of the receptors increases the threshold required to generate an action potential (Ladera et al., 2008). Thus, alterations of 84 85 GABABR trafficking/functionality have the potential to significantly alter intrinsic neuronal 86 excitability and brain function. In this work, we have investigated the role of ATF4 in neuronal excitability. We find that ATF4 knockdown in cultured hippocampal neurons significantly increases 87 88 their firing rate and that this appears to be due to reduced trafficking of GABA<sub>B</sub>R to the cell surface. 89 These effects in turn appear to be a consequence of ATF4's regulation of Cdc42 stability.

### 90 Methods

### 91 Cell cultures

Primary hippocampal cultures were prepared as previously described (Liu et al., 2015). Briefly, hippocampi were dissected from E18 rat embryos of either sex and, after dissociation, neurons were plated on poly-D-lysine-coated 12-well-plates at the density of 3 × 10<sup>5</sup> cells/well. Neurons were maintained in Neurobasal medium (Invitrogen) supplemented with 2% B-27 (Invitrogen) and 0.5 mM glutamine (Invitrogen). Elisa measurements of both cell media and cell lysates revealed the presence of both GABA and glutamate in the culture (glutamate=32.2 µg, GABA=13.9 µg).

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99 DNA constructs, lentivirus preparation and infection

All shRNAs were cloned in the pLVTHM vector (Addgene), which contains an EF-1α promoter for
 target gene expression, using the following oligo DNA pairs as previously described (Liu et al.,
 2014).

- 103 Lenti-shRNA control:
- 104 5'-CGCGTCACAGCCCTTCCACCTCCATTCAAGAGATGGAGGTGGAAGGGCTGTGTTTTT
- 105 A-3' and 5'-CGCGTAAAAAACACAGGCCCTTCCACCTCCATCTCTGAATGGAGGTGGA 106 AGGGCTGTGA-3'.
- 107 Lenti-shATF4:
- 108 5' -CGCGTGCCTGACTCTGCTGCTTATTTCAAGAGAATAAGCAGCAGAGTCAGGC
- 109 TTTTTTA-3' and5' -CGCGTAAAAAAGCCTGACTCTGCTGCTTATTCTCTTGAA
- 110 ATAAGCAGCAGAGTCAGGCA-3'

Lenti-shATF4 addback was generated using the QuickChange Site-directed Mutagenesis kit (Stratagene). Point mutations were introduced into the Lenti-ATF4 at the recognition site for shATF4 (CCTGACTCTGCTGCTTAT to CCAGAGTCAGCTGCTTAC).

Lenti-shATF4 mut/addback was generated from shATF4addback by introducing point mutations
 at the DNA binding site (292RYRQKKR298 to 292GYLEAAA298).

116 Lenti-shCdc42 was generated according published siRNA sequence 5'to а 117 GAUAACUCACCACUGUCCATT-3' (Deroanne et al., 2005). A scrambled shRNA (lenti-118 shCdc42scr) was generated by using the following oligo DNA pair: 5'-119 CGCGTGTCCAACGTCCATATACCATTCAAGAGATGGTATATGGACGTTGGACTTTTTA-3' 120 and 5'-CGCGTAAAAAAGTCCAACGTCCATATACCATCTCTTGAATGGTATATGGACGTTG G 121 ACA-3'. Lentiviral particles were produced using the 2<sup>nd</sup> generation packaging system. Briefly, HEK293T cells were transfected with the respective lentiviral constructs for shRNA together with 122 123 the packaging vectors psPAX2 and pMD2.G (Addgene) using calcium phosphate. Two and three 124 days after transfection, cell supernatants were collected and lentiviral particles were concentrated 125 20–30x by centrifugation in Amicon Ultra centrifugal filters (100KD) (Millipore). Viral titer ranged between 1–5×10<sup>6</sup> virions/µl. Primary neuronal cultures were infected with viral particles on Day In 126 127 Vitro 7 (DIV7) and RNA and protein extraction were performed at the indicated time points.

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### 129 RNA Extraction and Quantitative RT-PCR

130 Total RNA was extracted from rat primary hippocampal cultures 4, 8, and 12 days after lentiviral infection according to the RNeasy Mini Protocol (Quiagen kit). RNA concentration and purity were 131 132 determined using a NanoDrop 8000 (Thermo Scientific, Wilmington, DE). mRNA was then reverse-transcribed into cDNA using the 1<sup>st</sup> Strand cDNA Synthesis System for quantitative RT-133 134 PCR (Origene) following the manufacturer's instructions. Reaction mixtures were diluted 5-fold and subjected to gRT-PCR amplification (Eppendorf) using FastStart SYBR Green Master mix 135 136 (Roche). The following primers were used: ATF4: F 5'-ATGCCAGATGAGCTCTTGACCAC-3' and 137 R 5' -GTCATTGTCAGAGGGAGTGTCTTC-3'; αTubulin: F 5'-TACACCATTGGCAAGGAGAT-3' 138 and R 5'-GGCTGGGTAAAT GGAGAACT-3; GABA<sub>B</sub>R 1a: F 5'- CACACCCAGTCGCTGTG-3' and 139 R 5'-GAGGTCCCCACCCGTCA-3'; GABA<sub>B</sub>R 1b 5'-GGGACCCTGTACCCCGGTG-3' and R 5'-140 GGAGTGAGAGGCCCACACC-3'. Relative product quantities for each transcript were performed 141 in triplicate, normalized to αTubulin mRNA as an endogenous control, and determined using the 142 comparative CT method.

143

### 144 Electrophysiology

Primary hippocampal neurons (19-21 *DIV*, 2 weeks after lentiviral infection) were used for tight
seal conventional whole-cell patch clamp. All the currents were recorded from pyramidal-like
neurons, based on the their large (~15µm) triangular shaped somas. Coverslips were placed in a
recording chamber with bath solution containing (in mM): 119 NaCl, 5 KCl, 20 Hepes, 30 glucose,
2 CaCl<sub>2</sub>, 2 MgCl<sub>2</sub>. The pH and osmolarity of the bath solution were adjusted to 7.3 and 330

150 mOsm/L, respectively. For spontaneous action potential recordings, glass pipettes were filled with intracellular electrode solution (pH 7.3, 285 mOsm/L) containing (in mM): 130 K-gluconate, 10 151 KCI, 10 HEPES, 1 MgCl<sub>2</sub>, 0.06 CaCl<sub>2</sub>, 0.1 EGTA, 3 MgATP, 0.3 Na<sub>2</sub>GTP, and typically registered 152 4-8 MΩ pipette resistances. Following acquisition of electrical access, cells were held in current-153 154 clamp mode at I=0. For mIPSCs experiments, glass pipettes were filled with intracellular electrode 155 solution (pH 7.3, 285 mOsm/L) containing (in mM): 130 KCI, 10 Hepes, 0.5 CaCl<sub>2</sub>, 1 EGTA, 3 MgATP, 0.3 Na2GTP. Furthermore, 1 µM TTX, 10 µM CNQX, and 50 µM D-APV were 156 continuously perfused during the experiment. All the cells were recorded at -70 mV for 10 min 157 158 and a 5 mV hyperpolarizing test pulse was applied periodically during recordings to ensure that 159 the access resistance did not change significantly and was less than 25 M $\Omega$ . If not, the recordings were discarded. Signals were filtered at 2 kHz, digitized at 10 kHz, stored and analyzed offline 160 161 using MiniAnalysis Software (Synaptosoft, Version 6.0.7). The threshold for event detection was 162 set at 5 pA. Recordings were performed at room temperature under constant perfusion (2 mL/min) and acquired using Clampex software and a microamplifier (MultiClamp 700B, Molecular 163 164 Devices). For Baclofen-induced GIRK currents, hippocampal neurons were bathed initially with a solution containing (in mM) 119 NaCl, 5 KCl, 20 Hepes, 30 glucose, 2 CaCl<sub>2</sub>, 2 MgCl<sub>2</sub> (pH 7.3, 165 166 330 mOsm/L) and then switched to a high potassium solution (hK) containing (in mM) 85 NaCl, 167 60 KCl, 2 MgCl<sub>2</sub>, 2 CaCl<sub>2</sub>, 10 Hepes, 10 Glucose (pH 7.3) to determine the amplitude of the basal 168 potassium current. When the basal current reached equilibrium, Baclofen diluted in hK was applied. The hK induced current was subtracted from the total current to obtain the Baclofen-169 170 induced GIRK current. Membrane potential was held at -70 mV throughout the duration of the experiment. 171

### 172 Surface and total protein isolation

173 Membrane-bound and total protein isolation was conducted using the EZ-Link NHS-PEO4-174 Biotinylation Kit (Pierce), following manufacturer's instructions. Briefly, cells were gently washed three times with ice-cold PBS containing 1 mM MgCl<sub>2</sub> and 0.1 mM CaCl<sub>2</sub> (PBS/CM) and then 175 176 incubated with 500 µg/ml of EZ-link NHS-PEO4-biotin dissolved in ice-cold PBS at 4°C for 1 hour. 177 Cells were then washed once with ice-cold PBS and the reaction guenched by adding 500 µl of 178 100 mM glycine for 10 minutes, followed by 3 washes in ice-cold-PBS. Cells were then harvested 179 in RIPA buffer supplemented with protease/phosphatase inhibitor and centrifuged at 14000 rpm 180 for 15 minutes at 4°C. 30 µl of the resulting supernatant were collected for total protein input and 181 the rest incubated with 50 µg of streptavidin beads, rotating overnight at 4°C. Beads were washed 182 5 times with RIPA buffer and bound proteins eluted with 1x sample buffer by boiling for 5 minutes.

### 183 Immunoblotting

After adding NuPAGE LDS Sample Buffer (Invitrogen) and 5% β-mercaptoethanol, samples were
boiled for 15 min and proteins were separated by electrophoresis on 4-12% BisTris SDSacrylamide gels (Invitrogen). After transfer, the membranes were blocked for 1 hour at room
temperature with 5% milk and then incubated overnight with primary antibody. The following
primary antibodies were used: rabbit anti-GABA<sub>B</sub> R1 (1:1000, Abcam, #55051 (Zapata et al.,
2017)), GABA<sub>B</sub> R2 (1:1000, Cell Signaling, #3839), rabbit anti-GABA<sub>A</sub>R β2 (1:1000, Synaptic
Systems, #224803), rabbit anti-GABA<sub>A</sub>R §2 (1:1000, Synaptic Systems, #224003), rabbit anti-

191 ATF4 (1:1000, Cell Signaling, #11815), rabbit anti-Cdc42 (1:1000, Cell Signaling, #2462S), rabbit anti-GIRK1 (1:500, Abcam, #129182), rabbit anti-GIRK2 (1:200, Sigma-Aldrich, #P8122), rabbit 192 anti-GIRK3 (1:400, Sigma-Aldrich, #P8247), mouse anti-GAPDH (1:10000, Invitrogen, #MA1-193 16757), rabbit anti-K<sub>v</sub>1.1 (1:400, Sigma-Aldrich, #P8247), mouse anti-K<sub>v</sub>2.1 (1:500, Abcam, 194 195 #ab192761), rabbit anti-K<sub>v</sub>4.2 (1:200, Sigma-Millipore, #07-491), rabbit anti-Ca<sub>v</sub>2.1 (1:500, 196 abcam, #ab32642). Densitometric quantification of the bands was performed using ImageJ 197 software (NIH). Total level of proteins (input) was normalized to GAPDH, while membrane-bound 198 samples were normalized with the ratio input/GAPDH. GAPDH was undetectable in the 199 membrane-bound fraction, therefore excluding the possibility that the membrane was leaky or 200 compromised.

### 201 Statistical analysis

Data are shown as means  $\pm$  SEM. Comparison between two groups was performed with a twotailed unpaired Student's t test. Comparison between multiple groups was performed using twoway ANOVA, followed by a Bonferroni *post hoc* test when applicable. Statistical significance was set at p < 0.05.

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### 207 Results

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### 209 ATF4 knockdown increases neuronal excitability

210 We previously described a key role for ATF4 in modulating glutamatergic neurotransmission both 211 in vitro and in vivo and in regulating dendritic spines (Liu et al., 2014; Pasini et al., 2015). Given 212 the pivotal roles of these two aspects in controlling the excitability properties of neurons, we next 213 set out to directly investigate the role of ATF4 in intrinsic neuronal excitability. For this purpose, 214 we used lentivirally delivered shRNAs to specifically down-regulate ATF4 expression in 7 DIV 215 cultured rat hippocampal neurons and performed whole-cell patch-clamp two weeks after the 216 infection to record the frequency of spontaneously-occurring action potentials (sAPs). At this time 217 in culture (3 weeks total), the neurons have formed extensive synaptic connections (Liu et al., 218 2014). As shown in Figure 1A.B, knockdown of ATF4 resulted in an approximately 3-fold increase 219 in the frequency of sAPs compared to neurons infected with a control shRNA (shCtrl=0.35±0.07Hz, shATF4=1.11±0.26Hz; post hoc Bonferroni, shCtrl vs shATF4 p<0.01) To 220 221 confirm that this result was not due to off-target effects, we performed a rescue experiment in 222 which the neurons were co-infected with lentiviruses expressing shATF4 and an ATF4 construct 223 (ATF4add) conservatively mutated to make it unresponsive to shATF4. This resulted in 224 knockdown of endogenous and overexpression of exogenous ATF4, respectively (Liu et al., 225 2014). Our results indicate that ATF4add restored the firing rate to the control level (Fig. 1A,B; shATF4+ATF4add=0.46±0.07Hz; post hoc Bonferroni, shCtrl vs shATF4+ATF4add, p>0.05). 226 227 However, while ATF4 over-expression rescued the firing rate, it did not reduce it below that seen 228 in control cultures. Next, to test whether the effects of ATF4 on sAP frequency requires its 229 transcriptional activity, we co-infected cultured hippocampal neurons with shATF4 and a mutant

230 ATF4 construct, ATF4add/mut, that is not recognized by shATF4 and that encodes a mutant ATF4 231 that does not bind DNA and thus is transcriptionally inactive. This results in knockdown of endogenous ATF4 and overexpression of inactive exogenous ATF4 (Liu et al., 2014). In contrast 232 to ATF4add, ATF4add/mut failed to rescue the effect of ATF4 knockdown (Fig. 1A,B; 233 234 shATF4+ATF4add/mut=1.17±0.21Hz; post hoc Bonferroni, shCtrl vs shATF4+ATF4add/mut, 235 p<0.01), suggesting that ATF4 must retain its transcriptional capability to regulate the frequency 236 of neuronal firing. Because APs are generated by voltage-gated sodium (Nav) and potassium (Kv) 237 channels (Bean, 2007), we investigated whether ATF4 down-regulation could affect the neuronal 238 firing rate by influencing these major AP constituents. However, our results show no differences 239 in either Na<sub>V</sub> or K<sub>V</sub> I-V curves obtained from shCtrl- or shATF4-infected hippocampal cultures (Fig. 1C-E), suggesting that ATF4 regulates neuronal excitability by a mechanism independent of 240 241 effects on Nav or Kv.

### 242 ATF4 regulates trafficking of GABA<sub>B</sub>Rs to the membrane

Among the many proteins reported to modulate the excitability of neurons, we focused on GABAB 243 244 receptors (GABA<sub>B</sub>Rs), the metabotropic (G-protein coupled) receptors for GABA. Postsynaptic GABA<sub>B</sub>Rs induce a slow inhibitory postsynaptic current (sIPSC) by gating Kir-type K<sup>+</sup>-channels. 245 This in turn hyperpolarizes the membrane and shunts excitatory currents, thereby inhibiting 246 247 generation of action potentials (Leung and Peloquin, 2006). In this light, we confirmed that 248 blocking GABA<sub>B</sub>R activity in our cultures increases the neuronal firing rate. As shown in Figure 249 2A, application of the specific GABA<sub>B</sub>R antagonist CGP55845 (10 μM) produced a rapid 8-fold 250 rise (from about 0.4 to 3.2 Hz) of sAP frequency. Of potential relevance, ATF4 has been reported 251 to directly bind GABA<sub>B</sub>Rs as well as to differentially regulate promoter activity of the subunits 1a 252 and 1b of GABA<sub>B</sub>Rs (Steiger et al., 2004). We therefore first investigated whether ATF4 down-253 regulation affects expression of GABA<sub>B</sub>Rs at the transcriptional level. To achieve this, we infected cultured hippocampal neurons with either shATF4 or shCtrl for 4-15 days and then carried out 254 gRT PCR. As shown in Figure 2B, knockdown of ATF4 did not significantly affect the transcript 255 256 levels of either GABA<sub>B</sub>R 1a or 1b subunits (ATF4, 4 days: shCtrl=100±7.1%, shATF4=19.1±2.4%, 257 t test p<0.01; ATF4, 8 days: shCtrl=100±3%, shATF4=11.6±2.8%, t test p<0.01; ATF4, 12 days: 258 shCtrl=100±4.19%, shATF4=9.47±1.63%, t test p<0.01).

259 Next we determined whether knocking down ATF4 would alter total or membrane- bound protein 260 expression of GABA<sub>B</sub>Rs. To achieve this, we performed biotinylation of plasma membrane 261 proteins on cultured hippocampal neurons with or without ATF4 knockdown (infected at 7 DIV for 2 weeks), isolated the biotinylated proteins on streptavidin-bound beads and carried out western 262 263 immunobloting analysis for GABA<sub>B</sub>R subunits 1a and 1b on both the input (total cell lysate) and membrane fractions. Densitometric quantification from multiple experiments showed that ATF4 264 265 down-regulation did not affect total GABA<sub>B</sub>R 1a and 1b protein levels (Fig. 2C), but significantly decreased the levels of GABA<sub>B</sub>R subunits 1a and 1b in the biotinylated membrane fraction (Fig. 266 2C; GABABR 1a: shCtrl=100±11.8%, shATF4=46.7±10.7%; post hoc Bonferroni test: shCtrl vs 267 268 shATF4 p<0.01; GABA<sub>B</sub>R 1b: shCtrl=100±7.2%, shATF4=48.2±7.9%; post hoc Bonferroni test: 269 shCtrl vs shATF4 p<0.01) thus indicating a role for ATF4 in regulating GABA<sub>B</sub>R trafficking, but not 270 overall expression.

271 To address the question of whether the effect of ATF4 on membrane trafficking of GABABRs 272 involves ATF4's transcriptional activity, we performed a rescue experiment as above and found that ATF4add/mut, in contrast to ATF4add, failed to reverse the effects of ATF4 knockdown (Fig. 273 274 2C; GABABR 1a, shATF4+ATF4add=95.1±10.3%, shATF4+ATF4add/mut=39.8±8.2%; post hoc 275 Bonferroni test: shCtrl vs shATF4+ATF4add p>0.05, shATF4 vs shATF4+ATF4add p<0.05, shCtrl 276 shATF4+ATF4add/mut p<0.01. GABA<sub>B</sub>R 1b, shATF4+ATF4add=110.5±12.7%, vs 277 shATF4+ATF4add/mut=55.2±10%; post hoc Bonferroni test: shCtrl vs shATF4+ATF4add p>0.05, 278 shATF4 vs shATF4+ATF4add p<0.001, shCtrl vs shATF4+ATF4add/mut p<0.05). This indicates, 279 as with neuronal excitability, that ATF4 has a transcriptional role in regulating trafficking of 280 GABA<sub>B</sub>Rs. The data also show, as with excitability, that ATF4 over-expression is not sufficient to 281 drive surface expression of GABA<sub>B</sub>Rs beyond that seen with basal endogenous expression.

282 We next asked whether knocking down ATF4 might produce a more general or non-specific effect 283 on membrane proteins. Interestingly, both the total and membrane-bound levels of GABA<sub>B</sub>R 2 (biotin labeled as above) were unaffected by ATF4 down-regulation (Fig. 2D). We also examined 284 285 the effect of shATF4 on membrane-exposed  $\beta 2$  and  $\chi 2$  subunits of GABA<sub>A</sub> receptors and found 286 no effects on either the total or membrane-exposed protein levels (Fig. 3A). In addition to GABA<sub>B</sub>Rs, a wide variety of voltage-sensitive K and Ca channels has been described to regulate 287 288 excitability properties of neurons (Chen et al., 2006; Hsiao et al., 2009; Rossignol et al., 2013; 289 Speca et al., 2014). We therefore queried whether the effect of ATF4 on neuronal excitability was 290 in part due to its capability to regulate the expression or localization of K<sub>v</sub>1.1, K<sub>v</sub>2.1, K<sub>v</sub>4.2, and 291  $Ca_v 2.1$ . As shown in Fig. 3B-E, neither the total nor the membrane-bound levels of these proteins 292 was affected by ATF4 down-regulation. These findings thus indicate that ATF4 has a selective 293 role in regulation of membrane-bound proteins involved in neuronal excitability and that this 294 includes GABA<sub>B</sub>Rs.

### 295 ATF4 knockdown reduces GIRK currents

296 We next gueried whether the reduction we observed in the number of membrane-inserted 297 GABA<sub>B</sub>Rs after ATF4 down-regulation reflected a change in the functionality of the receptors 298 themselves. Post-synaptic GABA<sub>B</sub>Rs are associated with, and mediate part of their functions 299 through G protein-coupled inwardly-rectifying potassium (GIRK) channels (Gassmann and 300 Bettler, 2012). We therefore studied the function of GABA<sub>B</sub>Rs by whole-cell patch-clamp recording 301 of GABA<sub>B</sub>R-induced K<sup>+</sup> GIRK currents in 7 DIV hippocampal cultured neurons infected for 2 weeks 302 with lentiviruses carrying either shCtrl or shATF4. As shown in Fig. 4A, we first calibrated our recordings by applying the GABA<sub>B</sub>R agonist Baclofen (100 µM), which elicited sustained K<sup>+</sup> 303 currents in control neurons that were prevented by pre-treating the cells with a specific GABA<sub>B</sub>R 304 305 antagonist (SCH50911, 100 µM). Consistent with our finding that ATF4 down-regulation reduces 306 cell surface GABA<sub>B</sub>R levels, when we recorded Baclofen-induced GIRK currents in ATF4 knockdown neurons, we found them to be significantly reduced when compared to those in ShCtrl 307 308 infected neurons (Fig. 4B; shCrl 725.9±58.4pA, shATF4 435.1±39.2pA; post hoc Bonferroni: 309 p<0.01). This effect did not appear to be mediated by effects on GIRK channels in that shATF4 310 did not affect total or membrane-bound GIRK1, GIRK2, or GIRK3 protein levels (Fig. 4C). Finally, ATF4add, but not ATF4add/mut, completely restored the currents to the control level (Fig. 4B; 311 shATF4+ATF4add=786.9±69.2pA, shATF4+ATF4add/mut=545.9±43.8pA; post hoc Bonferroni: 312

shATF4 vs shATF4+ATF4add, p<0.001; shATF4add vs shATF4add/mut, p<0.01), further</li>
 confirming the idea that ATF4 needs to retain its transcriptional capability to regulate the trafficking
 of GABA<sub>B</sub>Rs. As with GABA<sub>B</sub>R membrane trafficking, ATF4 over-expression did not raise GIRK
 current amplitude beyond that in control cultures.

### 317 ATF4 knockdown increases the frequency, but not amplitude of mIPSCs

318 Given that GABA<sub>B</sub>R manipulations have been reported to affect the frequency, but not the 319 amplitude of spontaneous miniature inhibitory postsynaptic currents (mIPSCs; (Ulrich and 320 Huguenard, 1996; Kubota et al., 2003; Kirmse and Kirischuk, 2006)), we assessed mIPSCs 321 (confirmed by picrotoxin blockade) in cultured hippocampal neurons infected with either shCtrl or 322 shATF4 as a further readout of GABA<sub>B</sub>R functionality. As shown in Figure 5A, we found that 323 shAT4 significantly increases (by about 2-fold) the frequency, but not the amplitude of mIPSCs 324 (mIPSCs frequency: shCtrl=1.65±0.21Hz, shATF4=3.43±0.41Hz; post hoc Bonferroni: p<0.05). In addition, adding back ATF4 completely restored the frequency of mIPSCs to control values 325 (Fig. 5A; shATF4+ATF4add=1.19±0.37Hz; post hoc Bonferroni: shATF4 vs shATF4+ATF4add, 326 327 p<0.05). As with other properties described above, ATF4add overexpression did not increase mIPSC frequency beyond the level observed in control cultures. Furthermore, our whole-cell 328 patch-clamp recordings showed that, unlike ATF4add, ATF4add/mut was unable to reverse the 329 (Fig. 330 effect of ATF4 down-regulation mIPSC frequency on 5A: 331 shATF4+ATF4add/mut=3.83±0.76Hz; post hoc Bonferroni: shCtrl vs shATF4+ATF4add/mut, 332 p<0.01), confirming that the transcriptional activity of ATF4 is required for this action. To further confirm the idea that membrane-bound GABA<sub>B</sub>Rs are reduced by shATF4, we treated both shCtrl 333 334 and shATF4-infected neurons with the specific GABA<sub>B</sub>R agonist Baclofen (20 µM) or GABA<sub>B</sub>R 335 antagonists SCH50911 and CGP55845 (used at 100 and 10 µM, respectively). As shown in Fig. 336 5B, Baclofen application significantly reduced the frequency of mIPSCs both in shCtrl- and shATF4-infected hippocampal (shCtrl+Baclofen=0.79±0.14Hz, 337 neurons shATF4+Baclofen=1.23±0.15Hz; post hoc Bonferroni: shCtrl vs shCtrl+Baclofen, p<0.05; shATF4 338 339 vs shATF4+Baclofen, p<0.01), thus confirming that the membrane-bound GABA<sub>B</sub>Rs of shATF4-340 infected hippocampal neurons are properly responding to stimulation. Interestingly, the application of GABA<sub>B</sub>R antagonists CGP55845 and SCH50911 significantly elevated mIPSCs 341 frequency in shCtrl but not in shATF4 neurons (Fig. 5B; shCtrl+SCH=3.19±0.30Hz, 342 343 shCrl+CGP=3.67±0.46Hz, shATF4+SCH=3.38±0.44Hz, shATF4+CGP=3.59±0.15Hz; post hoc 344 Bonferroni: shCtrl vs shCtrl+SCH, p<0.05; shCtrl vs shCtrl+CGP, p<0.05, shATF4 vs shATF4+SCH, shATF4 vs shATF4+CGP, p>0.05), which is consistent with the observation that 345 shATF4 reduces membrane-bound levels of GABA<sub>B</sub>Rs. As expected, none of the treatments 346 significantly affected the amplitude of mIPSCs (Fig. 5B). 347

### The effects of ATF4 on excitability and GABA<sub>B</sub>Rs appear to be mediated by changes in Cdc42 expression

We previously reported that ATF4's modulation of dendritic spine density and glutamatergic functionality is mediated, at least in part, by its capacity to regulate the stability and expression of the total and activated forms of the small Rho GTPase Cdc42 (Liu et al., 2014; Pasini et al., 2015). Of particular relevance here, Cdc42 has been shown to be involved in regulating receptor 354 trafficking (Hussain et al., 2015). We therefore next tested the hypothesis that the effects of ATF4 355 down-regulation on GABA<sub>B</sub>R trafficking and neuronal excitability could be mediated by loss of Cdc42. To achieve this, we used a previously characterized Cdc42 shRNA (Liu et al., 2014) to 356 deplete Cdc42 in cultured hippocampal neurons and determined whether this phenocopies the 357 358 effects of ATF4 knockdown. We first assessed whether specific Cdc42 down-regulation affects neuronal excitability. As in the case of ATF4 knockdown, silencing Cdc42 protein produced a 2-359 360 fold increase of AP frequency (Fig. 6A; shCtrl=0.43±0.13Hz, shCdc42=1.08±0.15Hz; t test, 361 p<0.01). Next we queried whether Cdc42 down-regulation phenocopies the effect of ATF4 362 knockdown on GABA<sub>B</sub>R trafficking and found that this was sufficient to significantly decrease the 363 levels of membrane-exposed, but not total GABA<sub>B</sub>Rs (Fig. 6B; for GABA<sub>B</sub>R 1a shCtrl=100%±23.3%, shCdc42 44.2%±7.4%; t test, p<0.05; GABA<sub>B</sub>R 1b shCtrl=100%±20.3%, 364 shCdc42 38.7%±4.7%; t test, p<0.05). In addition, we found that Baclofen-induced GIRK currents 365 366 were significantly decreased in shCdc42-infected neurons, compared to controls (Fig. 6C; shCtrl=627.8±77.6pA, shCdc42=406.9±52.9pA; t test, p<0.05). Finally, Cdc42 knockdown also 367 368 increased the frequency mIPSCs (Fig. 6D; shCtrl=1.55±0.16Hz, shCdc42=3.21±0.67Hz; t test, p<0.05) to a degree similar to that observed with ATF4 knockdown. However, with Cdc42 369 370 knockdown, there was also a small, but significant increase in mIPSCs amplitude (Fig. 6D; 371 shCtrl=25.22±1.26pA, shCdc42=31±1.21pA; t test, p<0.01). Taken together, these findings support the idea that regulation of Cdc42 levels mediates the effects of ATF4 on neuronal 372 373 GABA<sub>B</sub>R trafficking and excitability.

### 374 Discussion

375 In the present study we delineate a novel role for the transcription factor ATF4 in regulating 376 GABA<sub>B</sub>R trafficking and neuronal excitability. Our data show that chronic ATF4 down-regulation 377 in hippocampal neurons reduces membrane levels of GABA<sub>B</sub>Rs, diminishes their functionality, 378 and consequently leads to a substantial increase of neuronal firing rate. In addition, we found that 379 these effects are mediated by ATF4's transcriptional capability and reflect changes in expression 380 of the small GTPase Cdc42. Studies on ATF4's role in the regulation of synaptic plasticity and memory have led to divergent views. ATF4 has been characterized to be either a negative or 381 positive influence on plasticity. Such interpretations appear to be dependent on cellular context 382 (Bartsch et al., 1995; Hu et al., 2015) or have been based on experimental manipulations that are 383 384 not specific to ATF4 such use of a dominant-negative construct (Chen et al., 2003) or regulation of eIF2 $\alpha$  phosphorylation (Costa-Mattioli et al., 2007). To more directly assess ATF4's function in 385 386 unstressed brain, we have used the strategy of studying the effects of long-term ATF4 knockdown 387 or deletion in hippocampal and cortical neurons both in vitro and in vivo. This has revealed 388 required roles for ATF4 in maintaining mushroom spines and glutamatergic functionality as well as in long-term spatial memory and behavioral flexibility (Liu et al., 2014; Pasini et al., 2015). The 389 present findings significantly extend the range of cognition-relevant neuronal properties that are 390 dependent on the presence of ATF4. 391

In the present work, we found that ATF4 plays a novel role in regulating the proportion of GABA<sub>B</sub>Rs that are exposed on the neuronal surface. Two subunit isoforms of GABA<sub>B</sub> 1 receptors have been described, GABA<sub>B</sub>R 1a and GABA<sub>B</sub>R 1b, which differ by the presence of two sushi domains near the N-terminus of GABA<sub>B</sub>R 1a (Hawrot et al., 1998). Our findings indicate that ATF4 <u>JNeurosci Accepted Manuscript</u>

396 knockdown leads to comparable reductions of both GABABR 1a and GABABR 1b on the cell 397 surface. There is evidence that GABA<sub>B</sub>R 1a subunits are mainly located presynaptically, while  $GABA_BR$  1b subunits are predominantly expressed postsynaptically (Vigot et al., 2006). 398 Consistent with this localization and with the reductions of both subunit types on the cell surface, 399 400 we observed both an increase in the frequency of mIPSCs (which is mainly a presynaptic 401 measurement) and a decrease of GIRK currents (mainly postsynaptic in origin) after ATF4 402 knockdown. Presynaptic GABA<sub>B</sub>Rs act as presynaptic brakes on release of neurotransmitters 403 (Sakaba and Neher, 2003; Laviv et al., 2010) and GABA<sub>B</sub>R modulation consequently affects the 404 frequency, but not the amplitude, of mIPSCs (Ulrich and Huguenard, 1996; Kubota et al., 2003; 405 Kirmse and Kirischuk, 2006). Our observation that ATF4 down-regulation increases the frequency, but not amplitude, of mIPSCs is thus consistent with a decrease of pre-synaptic 406 407 surface-exposed GABA<sub>B</sub>Rs. Further supporting this view, we found that application of the specific 408 GABA<sub>B</sub>R antagonists CGP55845 and SCH50911 significantly elevated mIPSC frequency in shCtrl- but not in shATF4-treated neurons, indicating that presynaptic GABABR activity is 409 410 compromised in the ATF4 knockdown neurons.

411 Pre- and post-synaptic GABA<sub>B</sub>R function has been widely reported to be associated with G-412 protein inward rectifying potassium channels (GIRKs), which hyperpolarize neurons in response 413 to GABA<sub>B</sub>R activation (Ladera et al., 2008). We therefore measured GABA<sub>B</sub>R-induced K<sup>+</sup> currents 414 as a readout of GABABR activity and found a 40% reduction of GIRK current in ATF4 down-415 regulated neurons. These observations are consistent with a decline in trafficking of post-synaptic 416 GABA<sub>B</sub>Rs. Thus, our findings suggest effects of ATF4 on both pre- and post-synaptic GABA<sub>B</sub>Rs. 417 Additional studies will be needed to further characterize these effects and to define their individual influences on synaptic transmission. 418

In our study, we observed that the total and surface levels of GIRK proteins were unaltered by ATF4 down-regulation; this finding suggests the idea that GABA<sub>B</sub>Rs and GIRK can be independently trafficked to the cell surface. In addition, our finding that ATF4 down-regulation reduces GABABR functionality without affecting GABA<sub>B</sub>R 2 levels is in line with the evidence that the 2 subunits (GABA<sub>B</sub>R 1 and 2) need to heterodimerize in order to produce a functionally active GABA<sub>B</sub>R (Jones et al., 1998).

425 A major issue addressed in our study is the mechanism by which ATF4 regulates the surface 426 expression of GABA<sub>B</sub>Rs. As a leucine zipper protein, ATF4 has the capacity to undergo direct 427 protein-protein interactions, among which is binding to GABA<sub>B</sub>Rs. However, this interaction did not appear to be relevant to the observed regulation of GABABR membrane trafficking since the 428 429 deficits in this parameter were not rescued by a mutant ATF4 lacking DNA binding capacity, but possessing an intact leucine zipper. These observations support rather a transcriptional role for 430 431 ATF4 in regulating GABA<sub>B</sub>R surface exposure. A prior study reported that ATF4 over-expression elevated expression of a GABA<sub>B</sub>R 1a promoter-reporter construct and decreased expression of a 432 433 GABABR 1b promoter-reporter construct (Steiger et al., 2004). However, we noted no changes 434 either in GABA<sub>B</sub>R 1a or GABA<sub>B</sub>R 1b mRNA or total protein after ATF4 knockdown. Moreover, 435 ATF4 over-expression also failed to affect surface or total GABA<sub>B</sub>R 1a or GABA<sub>B</sub>R 1b levels in 436 our experiments. Our findings instead indicate that the role of ATF4 in controlling GABA<sub>B</sub>R trafficking stems from its transcription-dependent capacity to regulate Cdc42 expression. In 437

support of this idea, Cdc42 knockdown fully phenocopied the effects of ATF4 knockdown on surface expression of GABA<sub>B</sub>Rs. While the ATF4/Cdc42 pathway appears to be key player in GABA<sub>B</sub>R trafficking, this does not seem to be a universal mechanism for regulating receptor surface expression in neurons. Thus, ATF4 knockdown did not affect total or membrane expression of GABA<sub>B</sub>R 2, GABA<sub>A</sub>  $\beta$ 2 and  $\gamma$ 2 subunits, or K<sub>v</sub>1.1, K<sub>v</sub>2.1, K<sub>v</sub>4.2, and Ca<sub>v</sub>2.1 channels. It remains to be seen whether ATF4/Cdc42 pathway affects trafficking of other neurotransmitter receptors.

445 Cdc42-dependent signaling has been implicated in trafficking of GluA1 AMPA receptors (Hussain et al., 2015). One way in which the effect of Cdc42 knockdown differed from ATF4 knockdown 446 447 was that the former, but not the latter caused a small, but significant increase in the amplitude of mIPSCs. One possible explanation for this discrepancy may be the different magnitude of Cdc42 448 449 protein silencing exerted by the two shRNA constructs. While shATF4 caused a ~40-50% reduction of Cdc42 protein, shCdc42 depleted 70-80% of total Cdc42 protein. It may be that the 450 greater loss of Cdc42 with shCdc42 results in post-synaptic modifications that affect GABA 451 452 sensitivity.

Our findings establish roles for transcriptionally active ATF4 and for Cdc42 in regulation of 453 454 GABA<sub>B</sub>R trafficking. Our prior work has shown that ATF4 influences Cdc42 levels by promoting 455 its stability and that this in turn reflects the Cdc42-stabilizing activity of RhoGDIa, a direct 456 transcriptional target of ATF4 (Pasini et al., 2016). Fig. 7 shows a proposed mechanistic pathway 457 by which ATF4 regulates GABA<sub>B</sub>R trafficking and neuronal excitability via Cdc42 and RhoGDlα. An important feature of this mechanism is that it appears to occur over a prolonged time course. 458 459 Cdc42 is a relatively stable protein and knocking down ATF4 in neurons reduces Cdc42's 460 apparent half-life from 31.5 to 18.5 hrs (Liu et al., 2014). This suggests that sustained changes in 461 ATF4 protein levels/activity over many hours may be required to materially affect Cdc42 expression and thereby to affect synaptic activity. ATF4 itself is a rapidly turning-over protein and 462 its expression is regulated both by translational and transcriptional mechanisms. Thus ATF4 may 463 464 serve as a "sentinel" protein whose ambient expression levels in neurons influence GABABR trafficking as well as other elements of neuronal plasticity. 465

466

### 467 Figure Legends:

### 468 Figure 1:

469 ATF4 knockdown increases neuronal excitability. A, The frequency of spontaneously-470 occurring action potentials (sAPs) is increased upon ATF4 down-regulation; this effect appears 471 to be mediated by ATF4's transcriptional capability. Left panel, representative traces of sAPs 472 recorded from cultured hippocampal neurons infected at DIV7 for 2 weeks with lentivirus 473 expressing either shCtrl (black trace), shATF4 (red trace), shATF4+ATF4add (purple trace), or 474 shATF4+ATF4add/mut (cyan trace). Right panel, summary bar graph showing the mean 475 frequencies (± SEM) of sAPs of hippocampal neurons infected with shCtrl (black bars, n=24). 476 shATF4 (red bars, n=22), shATF4+ATF4add (purple bars, n=18), shATF4+ATF4add/mut (cyan 477 bars, n=18) constructs. C-E, ATF4 down-regulation does not affect Na<sup>2+</sup> and K<sup>+</sup> voltage-gated channels in shATF4-infected neurons (red dots) when compared to shCtrl neurons (black dots).
C, Voltage-gated Na<sup>2+</sup> currents were evoked by 1s step depolarizations from -40 to +60 mV with
10-mV increments (shCtrl n=15; shATF4 n=16). D, A-type K<sup>+</sup> currents were evoked by 1s step
depolarizations from -30 to +60 mV, with 10-mV increments (shCtrl n=29; shATF4 n=33), and
successively isolated. E, Delayed-rectifying K<sup>+</sup> currents were evoked by 1s step depolarizations
from -90 to +60 mV, with 10 mV increments (shCtrl n=30; shATF4 n=34), and successively
isolated. Values are expressed as mean ± SEM. \*p<0.05; \*\*p<0.01.</li>

485

### 486 Figure 2:

487 ATF4 regulates GABA<sub>B</sub>Rs surface expression. A, GABA<sub>B</sub>R blockade increases the frequency of sAPs in control neurons. Representative trace of sAPs recorded from cultured hippocampal 488 489 neurons infected with shCtrl-carrying lentivirus treated with the specific GABA<sub>B</sub>R antagonist CGP55845 (10 µM). B, Time-course experiment showing no changes in the mRNA levels for 490 491 GABA<sub>B</sub>R subunits 1a and 1b upon ATF4 down-regulation. Bar graphs represent qPCR analysis 492 of ATF4 (left), GABA<sub>B</sub>R 1a (center) and GABA<sub>B</sub>R 1b (right) mRNA levels extracted 4, 8, and 12 493 days after infection with lentivirus carrying either shCtrl (black bars) or shATF4 (red bars) 494 constructs. Values are expressed as mean ± SEM from three independent experiments. C, ATF4 down-regulation decreases membrane levels of GABABR. DIV7 hippocampal cultured neurons 495 were infected with indicated lentiviral constructs (black for shCtrl, red for shATF4, purple for 496 shATF4+ATF4add, and cyan for shATF4+ATF4add/mut) for 14 days before undergoing 497 498 extraction of total and membrane proteins. Left side of the panel shows a representative western 499 immunoblot. Bar graphs on the right portion of the panel show densitometric analysis of total (left) 500 or membrane (right) GABA<sub>B</sub>R 1a and 1b protein normalized to GAPDH. D, ATF4 down-regulation does not affect total or surface levels of GABA<sub>B</sub>R 2. Left side of the panel shows a representative 501 502 western immunoblot. Bar graphs on the right portion of the panel show densitometric analysis of 503 total (left) or membrane (right) GABA<sub>B</sub>R 2 protein normalized to GAPDH. Values are expressed 504 as mean ± SEM from three independent experiments. \*p<0.05. \*\*p<0.01; \*\*\*p<0.001.

505

### 506 Figure 3:

ATF4 down-regulation does not affect total and membrane levels of GABA<sub>A</sub>Rs and voltage-507 508 sensitive K or Ca channels. DIV7 cultured hippocampal neurons were infected with indicated 509 lentiviral constructs (black for shCtrl, red for shATF4) for 14 days before undergoing extraction of 510 total and membrane proteins. A. Left side of the panel shows a representative western immunoblot. Bar graphs show densitometric analysis of total (left) or membrane (right) GABAAR 511 512 β2 and γ2 protein normalized to GAPDH. B, Upper panel shows a representative western 513 immunoblot. Bar graphs show densitometric analysis of total (left) or membrane (right)  $K_y$ 1.1 514 protein normalized to GAPDH. C, Upper panel shows a representative western immunoblot. Bar graphs show densitometric analysis of total (left) or membrane (right) Kv2.1 protein normalized to 515 516 GAPDH. D, Upper panel shows a representative western immunoblot. Bar graphs show 517 densitometric analysis of total (left) or membrane (right) K<sub>v</sub>4.2 protein normalized to GAPDH. E,

518 Upper panel shows a representative western immunoblot. Bar graphs show densitometric 519 analysis of total (left) or membrane (right) Ca<sub>v</sub>2.1 protein normalized to GAPDH. Values are 520 expressed as mean ± SEM from three independent experiments, each run in duplicate. The 521 differences betwen shCtrl and shATF4 were not significant (p>0.05) in all cases.

522

### 523 Figure 4:

524 ATF4 knockdown reduces GABA<sub>B</sub>R-induced GIRK currents. A, Specific GABA<sub>B</sub>R agonist 525 Baclofen (100 µM) elicited a sustained GIRK current in cultured hippocampal neurons infected 526 with shCtrl lentivirus (top panel shows a representative trace). This effect is abolished by pretreating the culture with the specific GABA<sub>B</sub>R antagonist CGP55845 (10 µM; bottom panel). 527 B. shATF4 infection reduces Baclofen-induced GIRK currents; this effect is dependent on ATF4's 528 529 transcriptional capability. Left panel shows representative traces of Baclofen-elicited currents recorded from DIV7 cultured hippocampal neurons infected for two weeks with lentivirus 530 531 expressing either shCtrl (black), shATF4 (red), shATF4+ATF4add (purple), or 532 shATF4+ATF4add/mut (cyan). Bar graphs on the right indicate measurements of the currents (shCtrl n=37: shATF4 n=39: shATF4+ATF4add n=36: shATF4+ATF4add/mut n=51). Values are 533 expressed as mean ± SEM. \*p<0.05; \*\*p<0.005. C, ATF4 down-regulation does not affect total 534 and membrane levels of GIRK proteins. DIV7 cultured hippocampal neurons were infected with 535 536 indicated lentiviral constructs (black for shCtrl, red for shATF4) for 14 days before undergoing extraction of total and membrane proteins. Left side of the panel shows a representative western 537 538 immunoblot. Bar graphs show densitometric analysis of total (left) or membrane (right) GIRK 539 proteins normalized to GAPDH. Values are expressed as mean ± SEM from three independent 540 experiments. \*p<0.05. \*\*p<0.01; \*\*\*p<0.001.

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### 542 Figure 5:

ATF4 knockdown increases the frequency, but not amplitude of mIPSCs. A, Left portion of 543 the panel shows representative mIPSC traces recorded from DIV7 hippocampal neurons infected 544 545 for two weeks with lentivirus expressing either shCtrl (black), shATF4 (red), shATF4+ATF4add (purple), or shATF4+ATF4add/mut (cyan). On the right portion of the panel, bar graphs quantify 546 the frequency (left) and the amplitude (right) of mIPSCs shown in A. (shCtrl, n=46; shATF4, n=29; 547 548 shATF4+ATF4add, n=16; shATF4+ATF4add/mut, n=25). Values are expressed as mean ± SEM. 549 B, Effect of pharmacological manipulation of GABA<sub>B</sub>Rs on mIPSCs recorded from DIV7 550 hippocampal neurons infected for two weeks with lentivirus expressing either shCtrl, or shATF4. Top panel shows representative traces of mIPSCs recorded from shCtrl (black traces) or shATF4 551 (red traces) infected neurons alone (shCtrl, n=49; shATF4, n=29) or in the presence of either 20 552 553 μM Baclofen (GABA<sub>B</sub>R agonist; shCtrl, n=16; shATF4, n=15), 10 μM SCH50911 (GABA<sub>B</sub>R antagonist; shCtrl, n=36; shATF4, n=18), or 100 µM CGP55845 (GABA<sub>B</sub>R antagonist; shCtrl, 554 555 n=21; shATF4, n=17). In the bottom portion of the panel, bar graphs guantify the frequency (left) and the amplitude (right) of the mIPSCs. Values are expressed as mean ± SEM. \* indicates 556 557 p<0.05; \*\* indicates p<0.01; \*\*\* indicates p<0.001.

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### 558

### 559 Figure 6:

560 The effects of ATF4 on excitability and GABA<sub>B</sub>Rs are driven by changes in Cdc42 561 expression. A, Cdc42 down-regulation increases intrinsic neuronal excitability. Top panel shows 562 representative traces of sAPs recorded from cultured hippocampal neurons infected at DIV7 for 563 2 weeks with lentivirus expressing either shCtrl (black trace) or shCdc42 (blue trace). Bottom 564 panel shows summary bar graphs showing the frequency of sAPs of neurons infected with shCtrl (black bars, n=13) or shCdc42 (blue bars, n=13) constructs. B, Cdc42 down-regulation decreases 565 566 membrane but not total GABA<sub>B</sub>R levels. DIV7 cultured hippocampal neurons were infected with 567 different lentiviral constructs (black for shCtrl, blue for shCdc42) for two weeks before undergoing 568 extraction of total and membrane proteins. Left portion of the panel shows a representative Western immunoblot. Bar graphs on the right portion of the panel show densitometric analysis of 569 immunoblots for total (left) or membrane (right) GABABR 1a and 1b protein, normalized to 570 GAPDH. C, Cdc42 down-regulation decreases Baclofen-elicited GIRK currents. Top side of the 571 572 panel shows representative traces of Baclofen-elicited currents recorded from DIV7 cultured 573 hippocampal neurons infected for two weeks with lentivirus carrying either shCtrl (black) or 574 shCdc42 (blue). Bar graph on the bottom side shows the measurements of the currents (shCtrl, 575 n=22; shCdc42, n=26). D. Cdc42 down-regulation increases mIPSCs. Top portion of the panel shows representative mIPSC traces recorded from DIV7 hippocampal neurons infected for two 576 577 weeks with lentivirus carrying either shCtrl (black) or shCdc42 (blue) constructs. On the bottom 578 portion of the panel, bar graphs quantify the frequency (left) and the amplitude (right) of mIPSCs 579 shown in A. (shCtrl, n=34; shCdc42, n=29). Values are expressed as mean ± SEM. \* indicates 580 p<0.05.

581

### 582 Figure 7:

583 Proposed mechanism by which ATF4 regulates neuronal excitability. Left panel shows a 584 control condition where basal levels of ATF4 protein ensure the appropriate amount of RhoGDIa 585 expression to bind and stabilize cytoplasmic Rho GTPase family members, including Cdc42. 586 Appropriate levels of Cdc42 result in basal levels of membrane-bound GABA<sub>B</sub>Rs that contribute to control the pace of neuronal firing. The right side of the panel shows a condition of chronic 587 588 ATF4 down regulation, the consequent decrease of RhoGDIα levels and augmented Cdc42 589 turnover. This in turn negatively affects the amount of membrane-bound GABA<sub>B</sub>Rs, altering 590 neuronal intrinsic excitability properties, which results in increased sAP frequency.

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