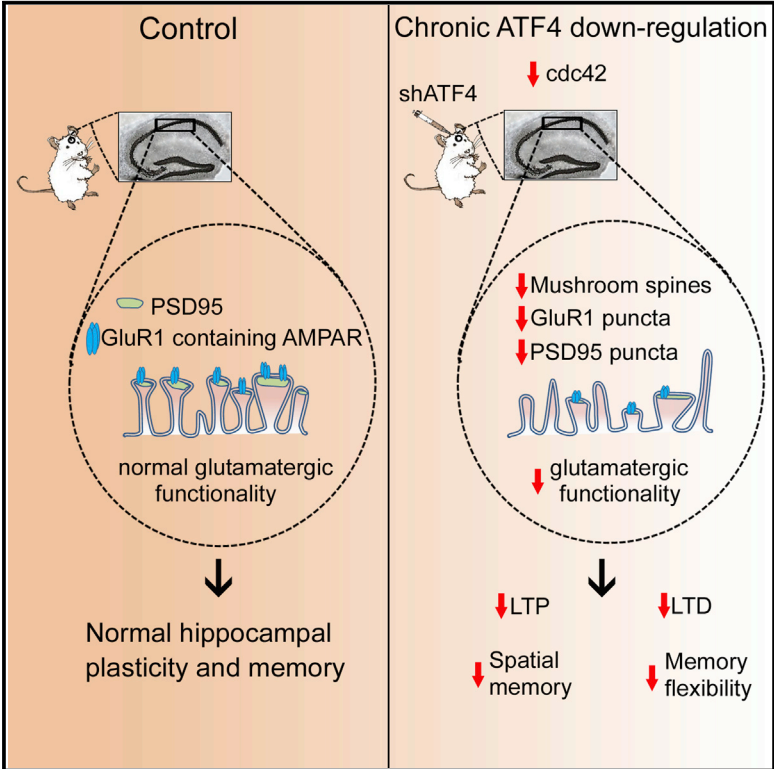


## Specific Downregulation of Hippocampal ATF4 Reveals a Necessary Role in Synaptic Plasticity and Memory

### Graphical Abstract



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### In Brief

Activating transcription factor 4 (ATF4) has been implicated in the formation of memory processes, although its role seems to be controversial. By specifically downregulating ATF4 in the hippocampus, Pasini et al. now show that ATF4 is a permissive factor for hippocampal synaptic plasticity and memory formation.

### Highlights

- ATF4 downregulation induces deficits in spatial memory and behavioral flexibility
- ATF4 downregulation impairs LTP and LTD at CA1-CA3 synapses
- ATF4 downregulation decreases glutamatergic functionality

# Specific Downregulation of Hippocampal ATF4 Reveals a Necessary Role in Synaptic Plasticity and Memory

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## SUMMARY

Prior studies suggested that the transcription factor ATF4 negatively regulates synaptic plasticity and memory. By contrast, we provide evidence from direct *in vitro* and *in vivo* knockdown of ATF4 in rodent hippocampal neurons and from ATF4-null mice that implicate ATF4 as essential for normal synaptic plasticity and memory. In particular, hippocampal ATF4 downregulation produces deficits in long-term spatial memory and behavioral flexibility without affecting associative memory or anxiety-like behavior. ATF4 knockdown or loss also causes profound impairment of both long-term potentiation (LTP) and long-term depression (LTD) as well as decreased glutamatergic function. We conclude that ATF4 is a key regulator of the physiological state necessary for neuronal plasticity and memory.

## INTRODUCTION

Activating transcription factor 4 (ATF4) belongs to the ATF/cAMP response element binding protein (CREB) family (Hai and Hartman, 2001). Although it was originally described as a repressor of CRE-dependent transcription (Karpinski et al., 1992), ATF4 can also act as a transcriptional activator (Bouman et al., 2011).

Transcriptional regulation by ATF4 occurs through the formation of homo- and heterodimers with a variety of partners via its basic leucine zipper (bZIP) domain (Hai and Curran, 1991). ATF4 has roles in a variety of tissues. ATF4-null mice are blind due to lens dysgenesis and have severely impaired bone development (Eleftheriou et al., 2006; Tanaka et al., 1998). In the mammalian nervous system, ATF4 has been implicated in synaptic plasticity and memory formation (Chen et al., 2003; Costa-Mattioli et al., 2007). Studies on *Aplysia* identified ApCREB2, a homolog of ATF4, as an inhibitor of CREB-dependent long-term facilitation (Bartsch et al., 1995; Lee et al., 2003b). Consistent with this, several studies in rodents, performed by manipulating eIF2 $\alpha$  phosphorylation (which regulates translation of ATF4 among other proteins) (Costa-Mattioli et al., 2005, 2007) or using a broad

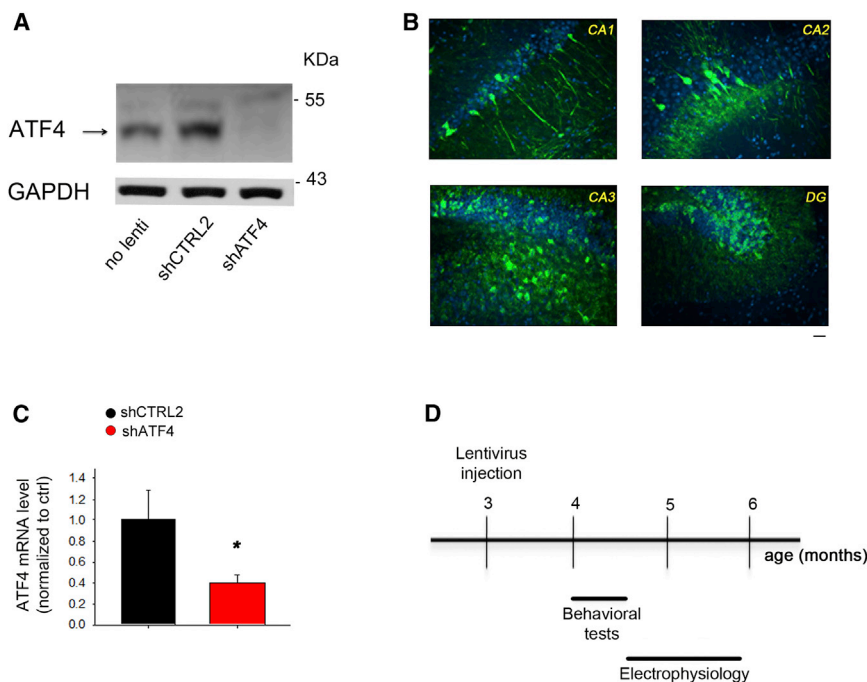
dominant-negative inhibitor of C/EBP proteins (Chen et al., 2003), led to suggestions that ATF4 negatively regulates long-term memory and synaptic plasticity. In contrast, recent studies have supported the view that ATF4 is required for object recognition memory (ILL-Raga et al., 2013), fear extinction memory (Wei et al., 2012), and memory flexibility (Trinh et al., 2012). For all of these studies, interpretation is hampered by the use of indirect ATF4 modulation, which has the potential to affect additional proteins. Thus, the precise role of ATF4 in synaptic plasticity and memory formation has yet to be determined.

To directly define ATF4's role in neuronal plasticity, we used lentivirally delivered small hairpin RNAs (shRNAs) to specifically interfere with its expression in rodent hippocampal neurons, long-term cultures, and adult animals. Where possible, we also used ATF4-null mice. We recently reported that direct ATF4 downregulation reduces the density of dendritic mushroom spines *in vitro* and *in vivo*, accompanied by a decrease in post-synaptic markers for excitatory glutamatergic synapses (Liu et al., 2014). These effects were partially mediated by a reduction in levels of total and active Cdc42, a small Rho family GTPase involved in regulation of the actin cytoskeleton. Here, we extend our findings to investigate the role of ATF4 in synaptic plasticity and memory formation.

## RESULTS

### ATF4 Downregulation Impairs Long-Term Spatial Memory and Memory Flexibility without Affecting Associative Memory and Anxiety-like Behavior

To assess ATF4's role in hippocampal-dependent behavior and synaptic plasticity, we used lentivirally delivered shRNAs to specifically downregulate ATF4 expression in rodent neurons *in vitro* and *in vivo*. These shRNAs efficiently reduce ATF4 protein levels in cultured neurons, and their actions on dendritic spines and post-synaptic markers are rescued by shRNA-resistant ATF4 expression constructs (Liu et al., 2014). We confirmed effective ATF4 knockdown by shATF4 in cultured hippocampal neurons at 2 weeks after infection (Figure 1A). The infection efficiency was ~90%. We also observed that an shRNA targeted to another sequence of rat ATF4 had no effect on ATF4 expression (Figure 1A), and used this as a control (shCTRL2) along with another



**Figure 1. Hippocampal-Specific ATF4 Downregulation**

(A) Representative western blot showing ATF4 protein knockdown in primary hippocampal neuronal cultures infected at 5 days in vitro (DIV) for 2 weeks with shATF4-lentivirus compared with shCTRL2-infected and non-infected cultures.

(B) Distribution and expression of GFP in mouse hippocampus 1 month after infection with GFP-expressing lentivirus. Sections of lentivirus-injected animals were immunolabeled with antibody against GFP (green) and counterstained with Topro3 (nuclei, blue). Scale bar, 20  $\mu$ m.

(C) qRT-PCR analysis of ATF4 mRNA levels in mouse hippocampus 4 weeks after lenti-shATF4 injection. Data are expressed as mean  $\pm$  SEM (shCTRL2 n = 7, shATF4 n = 7; \*p < 0.05).

(D) Scheme of the experimental design. Hippocampi of C57BL/6 WT male mice (3 months old) were stereotaxically inoculated with lenti-shCTRL2, -shCTRL1, or -shATF4. At 4 weeks after injection, the cognitive function of the animals was assessed with a battery of behavioral tests and the mice were then sacrificed for electrophysiological analyses.

control (shCTRL1) in which five bases of shATF4 were changed (Liu et al., 2014). To verify infection efficiency and spreading of the lentiviral particles in vivo, we injected adult mouse hippocampi with an empty lenti-GFP vector, sacrificed the mice 1 month later, and assessed GFP expression. This revealed positive neurons in all regions of the hippocampus, particularly in the hilus of the dentate gyrus (DG) and in CA3 (Figure 1B). Immunoreactivity was also observed throughout CA1 and CA2, whereas no positive cells were detected in surrounding areas. The infection efficiency was  $\sim$ 60% of total cells and the vast majority of labeled cells had a neuronal morphology.

Next, we prepared high-titer lentiviruses expressing shATF4 or shCTRL2 and bilaterally injected them into hippocampi of adult mice. ATF4 mRNA levels were assessed in the hippocampi by qRT-PCR after 4 weeks. Compared with shCTRL2-injected hippocampi, those receiving shATF4 showed a 60% reduction of ATF4 mRNA levels (Figure 1C). Given these results, we next evaluated the consequences of hippocampal ATF4 downregulation on learning and memory, and on the electrophysiological correlates of synaptic plasticity (Figure 1D).

One month after injection of shCTRL1, shCTRL2, or shATF4 lentiviruses, we tested hippocampus-dependent cognition by conducting a battery of behavioral tests. In the standard Morris water maze task, in which mice were trained to locate a hidden platform using extra-maze visual cues, we found that all three groups learned the task equally well (Figure 2A). After the last training trial, retention of long-term spatial memory was analyzed during a probe trial consisting of a 60 s free swim without the platform. Analysis of the paths revealed that shCTRL1- and shCTRL2-injected mice spent much more time exploring the target quadrant (TQ) than the other three quadrants (Figure 2B). In contrast, shATF4-injected mice spent almost the same

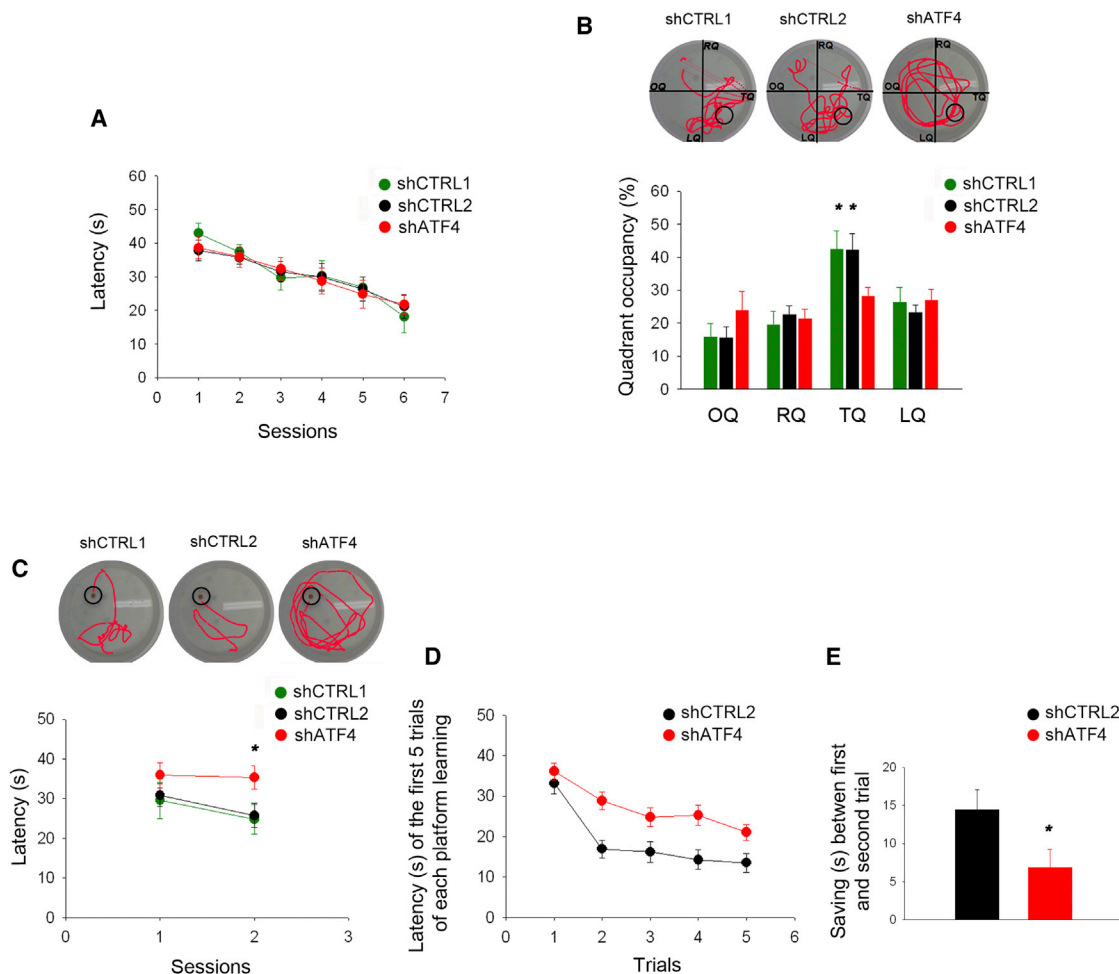
amount of time searching in each quadrant, suggesting a strong impairment of reference memory (Figure 2B).

To determine whether other forms of hippocampal-dependent spatial memory were compromised, we tested the mice for memory flexibility in a reversal-learning task. We moved the hidden platform to the opposite quadrant and trained the mice to reach it in the same manner as in the standard Morris water maze. In contrast to the initial training period (Figure 2A), on the second day of reversal training, we saw a significant decrease in the learning capability of shATF4-injected animals compared with controls (Figure 2C). Whereas the control animals showed a decreased latency in finding the new platform position, the ATF4 knockdown mice did not, pointing to an involvement of ATF4 in hippocampus-dependent memory flexibility.

We confirmed the behavioral flexibility deficits of shATF4-injected animals using the delayed matching to place (DMP) task, which measures the ability to learn a new platform location in the water maze based on a small number of trials (Zeng et al., 2001). shCTRL2-injected animals reduced their latency of finding the new platform position appreciably faster than the shATF4-injected mice (Figure 2D). This difference was mainly due to the difference in latency between the first and second trial of the last two platform positions (Figure 2E).

To rule out the possibilities that the spatial memory deficits in ATF4-downregulated mice were due to differences in vision, motivation, or swimming ability, we tested the animals in a visible-platform task. No differences in latency or speed were observed among the three groups in tests performed at the end of the reversal-learning tasks (Figures S1A and S1B) or at the beginning of the DMP task (Figures S1C and S1D).

ATF4-downregulated animals did not differ from controls in a fear-conditioning paradigm in which the mice learned to predict



### Figure 2. ShATF4-Injected Mice Display Spatial Long-Term Memory Deficits and Memory Inflexibility

(A) Latency in the training phase of the standard Morris water maze test plotted against number of sessions. No difference in learning capacity is detected among shCTRL1-, shCTRL2-, and shATF4-injected animals (shCTRL1  $n = 10$ , shCTRL2  $n = 12$ , shATF4  $n = 9$ ).

(B) Percentage of time spent in each quadrant of the pool during the probe trial (OQ, opposite quadrant; RQ, right quadrant; TQ, target quadrant; LQ, left quadrant). ShATF4-injected mice spend significantly less time exploring the TQ compared with the shCTRL1- and shCTRL2-injected animals (shCTRL1  $n = 10$ , shCTRL2  $n = 12$ , shATF4  $n = 9$ ).

(C) Latency in the retraining phase of the reversal-learning test is plotted against session number. ShATF4-injected mice take significantly more time to reach the new platform position compared with shCTRL1- and shCTRL2-injected animals (shCTRL1  $n = 10$ , shCTRL2  $n = 12$ , shATF4  $n = 9$ ).

(D) Latency of the first five trials of new platform training in the DMP task. Values are average latencies obtained from the last two training sessions (the third and fourth platform locations). ShATF4-injected mice take significantly more time to learn new platform positions compared with shCTRL1- and shCTRL2-injected animals (shCTRL2  $n = 8$ , shATF4  $n = 13$ ).

(E) The decrease in latency (saving time) between the first and second trial of each session in the DMP task. Values are average saving times from the last two training sessions, i.e., the third and fourth platform locations (shCTRL2  $n = 8$ , shATF4  $n = 13$ ).

All data are expressed as mean  $\pm$  SEM ( $*p < 0.05$ ). See also Figure S1.

an aversive event (foot shock) by associating it with a conditioning stimulus (context or sound) 24 hr after training (Figure S1E), suggesting that ATF4 is not involved in the formation of associative memory (Contarino et al., 2002). As an internal control, we tested the animals in the 48 hr cued fear-conditioning paradigm, an amygdala-dependent task. Again, no differences were found (Figure S1F).

To determine whether alterations in cognition in ATF4-downregulated mice are due to or accompanied by alterations in anxiety-like behavior, we tested them in the open-field task

(Campos et al., 2014). This revealed that all three groups (controls and ATF4 knockdown) spent similar times in the central area of the enclosure (Figure S1G), with the same number of entries into this zone (Figure S1H). We further assessed anxiety using the elevated-plus-maze test (Figures S1I–S1L). No differences were seen in the time spent in the open and closed arms (Figure S1I), in the number of entries into the open and closed arms (Figure S1J), or in the speed and transit time in the maze (Figures S1K and S1L). Taken together, our behavioral studies indicate that ATF4 is required for the formation of spatial

long-term memory and behavioral flexibility, but not associative memory or anxiety-like behavior.

### ATF4 Is Required for Long-Term Potentiation and Long-Term Depression at CA3-CA1 Synapses

Long-term potentiation (LTP) and long-term depression (LTD) are considered critical components of hippocampal synaptic plasticity involved in learning and memory (Shors and Matzel, 1997). We compared these phenomena in acute hippocampal slices from 4- to 5-month-old mice that had received either shATF4 or shCTRL2. Similar studies were carried out with hippocampal slices from 2-month-old ATF4-null mice and aged-matched controls. We first assessed basal synaptic transmission by measuring the input/output (I-O) relationship and paired-pulse facilitation (PPF) at different interstimulus intervals. We found no differences in the I-O and PPF curves of ATF4-downregulated (Figures S2A–S2C) and ATF4-null mice (Figures S2D–S2F) compared with their respective controls.

The magnitude of LTP in the Schaffer collateral-CA1 pathway elicited by either a strong stimulation protocol (three theta bursts at 15 s intervals; Figure 3A) or a weaker one (100 Hz for 1 s; Figure 3C) was significantly reduced in ATF4-downregulated mice in comparison to controls. We observed similar differences when we compared LTP in 2-month-old ATF4-null and wild-type (WT) mice (Figures 3B and 3D).

Because behavioral flexibility deficits have been associated with LTD deregulation (Nicholls et al., 2008), we examined ATF4's role in LTD in the same hippocampal pathway. In slices from animals treated with control shRNA, low-frequency stimulation (1 Hz/15 min) of CA3 neurons induced sustained depression of synaptic strength in CA1 neurons (Figure 3E). In contrast, this response was almost fully blocked in slices from ATF4-silenced animals (Figure 3E). LTD in acute hippocampal slices from ATF4-null mice was also decreased in comparison to age-matched WT animals (Figure 3F). Together, these data strongly support the idea that ATF4 is required for normal LTP and LTD induction at CA3-CA1 synapses.

### ATF4 Knockdown Reduces AMPAR-Mediated mEPSCs

Glutamatergic neurotransmission is a key component of synaptic plasticity mediated primarily by AMPA and NMDA receptors (Malenka and Bear, 2004). ATF4 knockdown in cultured hippocampal neurons did not alter the total protein levels of the AMPA receptor (AMPA) subunits GluR1 and GluR2 (Figures S3A and S3B) or the NMDA receptor subunits NMDAR1, NMDAR2A, and NMDAR2B (Figures S3C–S3E). We previously reported that shATF4 reduces the density of post-synaptic markers (GluR1 and PSD95 puncta) and dendritic mushroom spines in cultured neurons, and mushroom spine density in mouse hippocampus (Liu et al., 2014). These changes could result in decreased glutamatergic synapse function. To test this, we performed whole-cell patch-clamp recording to measure AMPAR-mediated miniature excitatory postsynaptic currents (mEPSCs) independently of action potentials. Both the amplitude and frequency of the mEPSCs were significantly reduced (20% and 40%, respectively) in ATF4-downregulated cultured hippocampal neurons compared with controls (Figures 4A–4C). To confirm that these results were not due to off-target

effects, we performed a rescue experiment in which the neurons were co-infected with lentiviruses expressing shATF4 and an ATF4 transcript (ATF4add) conservatively mutated to render it unresponsive to shATF4 (Liu et al., 2014). This resulted in knockdown and overexpression of endogenous and exogenous ATF4, respectively (Figure 4D). Adding back ATF4 restored both the frequency and amplitude of mEPSCs to control values (Figures 4A–4C). Although ATF4add overexpression rescued the effects of shATF4 on the densities of mushroom spines and PSD-95 puncta, ATF4add or ATF4 overexpression itself did not affect these properties (Liu et al., 2014). The present data also indicate that ATF4add overexpression does not increase mEPSC frequency or amplitude beyond that observed in control cultures (Figures 4A–4C).

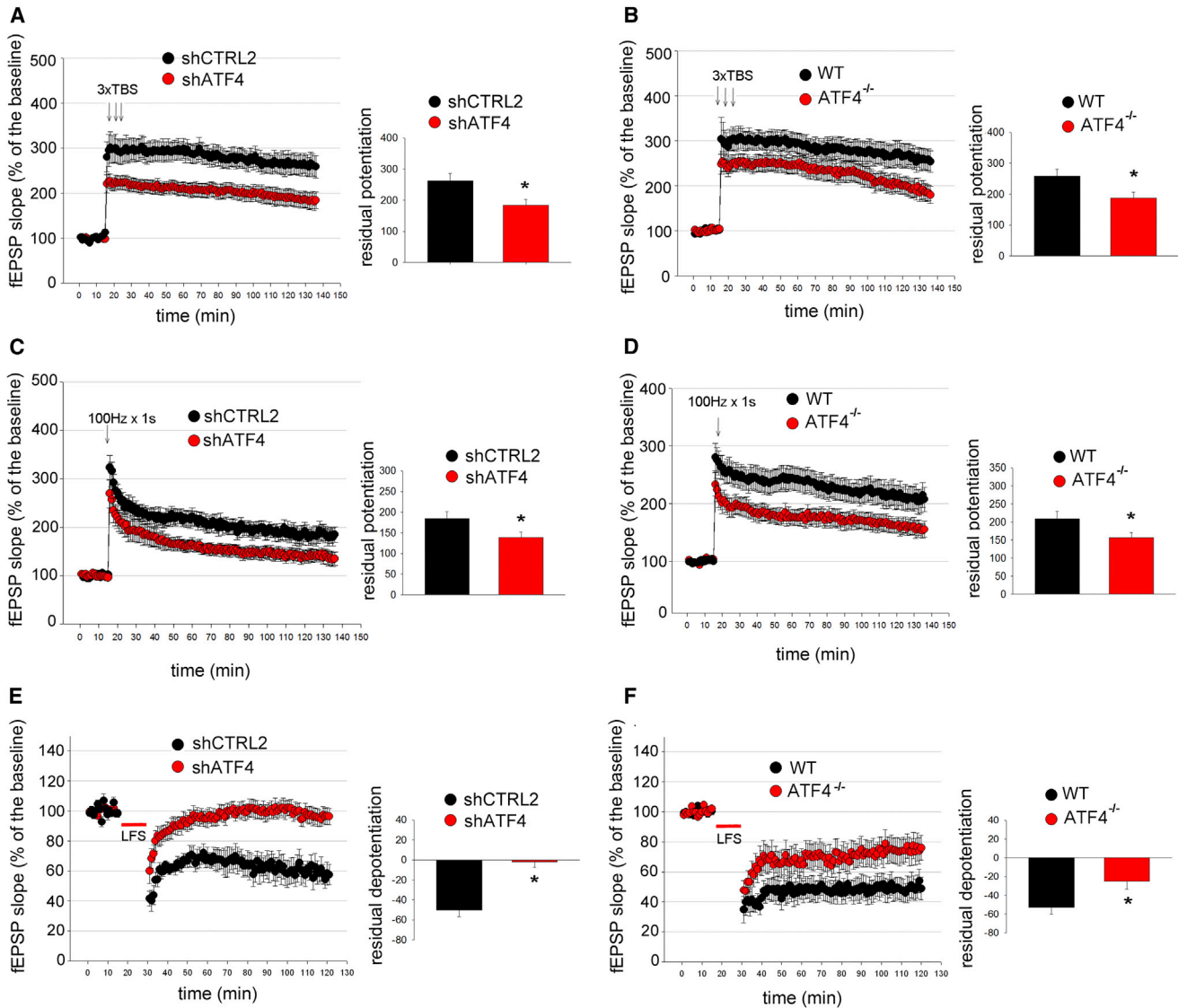
To test whether the effects of ATF4 on mEPSCs requires its transcriptional activity, we co-infected cultured hippocampal neurons with shATF4 and a mutant ATF4 transcript, ATF4add/mut, which is not recognized by shATF4 and encodes a mutant ATF4 that does not bind DNA (Liu et al., 2014). This results in knockdown of endogenous ATF4 and overexpression of transcriptionally inactive exogenous ATF4 (Figure 4D). Whole-cell patch-clamp recordings revealed that, unlike ATF4add, ATF4add/mut did not reverse the effect of ATF4 knockdown on the frequency and amplitude of mEPSCs (Figures 4A–4C), suggesting that the transcriptional activity of ATF4 is required.

When we recorded mEPSCs in CA1 pyramidal neurons in acute hippocampal slices from 1-month-old ATF4-null mice (Figures 4E–4G), we observed a robust decrease in mEPSC frequency, but no significant change in mEPSC mean amplitude. The reasons for the difference in amplitude effect between the knockdown and knockout (KO) animals are unclear, but could reflect compensatory changes in the null animals or differences in the preparations used (i.e., dissociated cultured rat hippocampal neurons versus acute hippocampal slices from ATF4 KO mice). Irrespective of these differences, our results strongly suggest that maintenance of glutamatergic synapse functionality requires ATF4 and its transcriptional activity.

## DISCUSSION

Our data show that ATF4 downregulation in hippocampal neurons leads to deficits in long-term spatial memory and memory flexibility. ATF4 knockdown or deletion also leads to profound impairment in induction of both forms of synaptic plasticity (LTP and LTD). In addition, whole-cell patch-clamp recordings revealed that silencing or knockout of ATF4 significantly reduces the function of glutamatergic synapses. ATF4-null mice were used whenever possible to confirm the knockdown results; however, because ATF4 KO causes visual and skeletal problems (Tanaka et al., 1998) ATF4-null mice could not be tested in hippocampal-dependent behavioral tasks.

LTP and LTD at CA3-CA1 synapses are critical components of synaptic plasticity, which is hypothesized to underlie memory formation (Disterhoft and De Jonge, 1987–1988; Maren and Baudry, 1995; Shors and Matzel, 1997). Our data show that long-term hippocampal ATF4 downregulation leads to impairments in LTP and LTD, and to spatial memory and memory



**Figure 3. ATF4 Is Required for LTP and LTD Induction at CA3-CA1 Synapses**

(A and B) LTP induction elicited by three theta bursts is significantly reduced in hippocampal slices obtained from animals receiving shATF4 (A, shCTRL2 n = 12, shATF4 n = 16) and from ATF4 KO mice (B, WT n = 9, KO n = 9). Bar graphs indicate the average of the last 15 min of recordings.

(C and D) LTP induction elicited by 100 Hz for 1 s is significantly decreased in hippocampal slices from animals injected with shATF4 (C, shCTRL2 n = 8, shATF4 n = 9) and from ATF4 KO mice (D, WT n = 12, KO n = 12).

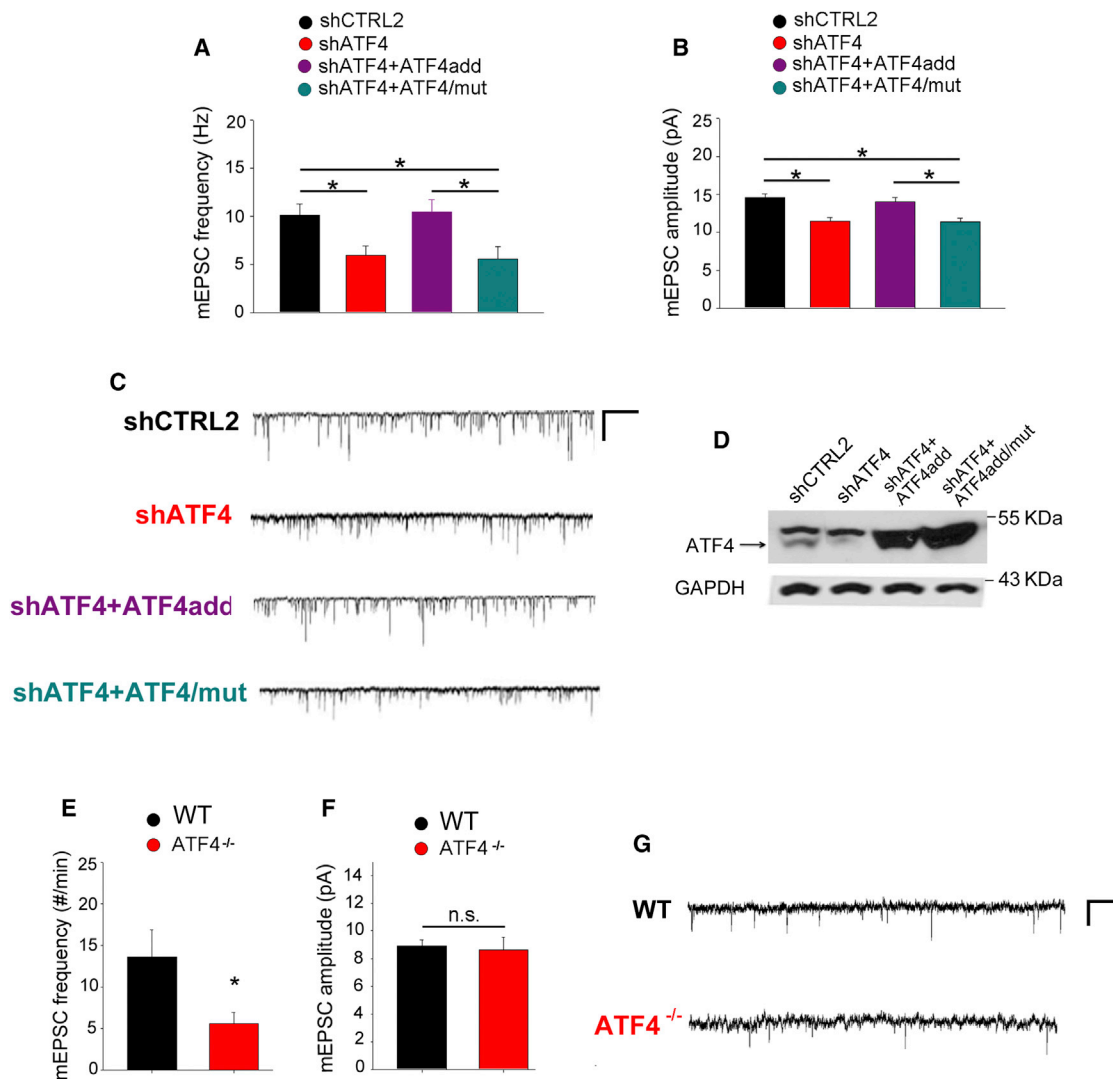
(E and F) LTD induction elicited by a 15 min, 1 Hz stimulus train is decreased in hippocampal slices obtained from animals injected with shATF4 (E, shCTRL2 n = 6, shATF4 n = 8) and from ATF4 KO mice (F, WT n = 10, KO n = 10).

All field excitatory post-synaptic potentials (fEPSPs) are expressed as mean  $\pm$  SEM (\*p < 0.05). See also Figure S2.

flexibility deficits. The mechanisms underlying these effects may relate to the significant decrease in mushroom spine density seen after ATF4 knockdown (Liu et al., 2014). Mushroom spines are considered to be the most active spines and the substrate upon which memory is based (Arellano et al., 2007; Schikorski and Stevens, 1999; von Bohlen Und Halbach, 2009). At the cellular level, modification of spine number and shape leads to functional changes at synapses (Bourne and Harris, 2007; Matus, 1999; Star et al., 2002). In a previous study (Liu et al., 2014), we showed that an shATF4-mediated reduction in

mushroom spine density correlates with a parallel reduction in post-synaptic markers for excitatory glutamatergic synapses (PSD95 and GluR1 puncta).

In agreement with the hypothesis that an shATF4-mediated reduction in mushroom spine density and excitatory synapses is reflected in impairment of glutamatergic neurotransmission, we found a significant reduction in the frequency and amplitude of AMPAR-mediated mEPSCs in ATF4-downregulated cultured hippocampal neurons and in mEPSC frequency in hippocampal neurons from ATF4-null mice. We also observed that



**Figure 4. ATF4 Knockdown Reduces AMPAR-Mediated mEPSCs and This Is Rescued by Transcriptionally Active, but Not by Transcriptionally Inactive, shRNA-Resistant ATF4**

(A and B) Summary bar graphs of the frequency (A) and amplitude (B) of mEPSCs recorded from cultured rat hippocampal neurons infected with lentivirus expressing shCTRL2 (n = 56, black bars), shATF4 (n = 54, red bars), shATF4+ATF4add (n = 38, cyan bars), or shATF4+ATF4/mut (n = 30, purple bars).

(C) Sample traces of the recordings shown in (A) and (B).

(D) Representative western blot of primary hippocampal neurons infected at 5 DIV for 2 weeks with the indicated lentiviral constructs.

(E and F) Summary bar graphs of the frequency (E) and amplitude (F) of mEPSCs recorded from pyramidal neurons in hippocampal slices from 1-month-old WT (n = 15, black bars) and ATF4-null mice (n = 11, red bars).

(G) Sample traces of the recordings shown in (E) and (F).

Bars represent mean  $\pm$  SEM (\* $p$  < 0.05). See also Figure S3.

maintenance of spontaneous glutamatergic synapse activity requires transcriptionally competent ATF4 and that ATF4 over-expression does not elevate these parameters beyond baseline values.

Glutamatergic transmission is crucial for both hippocampal LTP and LTD (Lee et al., 2003a). Our findings suggest that a reduction or loss of ATF4 in hippocampal neurons causes decreased mushroom spine density, diminished excitatory synapse numbers, and reduced glutamatergic transmission, which in turn leads to impaired synaptic plasticity. By what mecha-

nism(s) does ATF4 knockdown cause these changes? Our prior data show that ATF4 knockdown leads to increased turnover of the actin-regulatory protein Cdc42 and to a decrease in neuronal levels of both total and activated Cdc42 (Liu et al., 2014). Knockdown of Cdc42 qualitatively mimicked the effects on ATF4 knockdown on densities of mushroom spines and PSD95 puncta. Thus, it appears that the effects of ATF4 downregulation/loss on synaptic plasticity and memory are mediated at least in part by a reduction in total and activated Cdc42 levels. This is consistent with the report that a conditional reduction of Cdc42

in mouse forebrain excitatory neurons led to reduced spine density, impaired LTP induction, and defective remote memory recall, with no effect on anxiety-like behavior or contextual memory (Kim et al., 2014).

We found that, in addition to affecting spatial memory, ATF4 downregulation leads to a significant impairment of behavioral flexibility and a complete block of LTD. The association between LTD and behavioral flexibility is supported by findings that mice lacking NMDAR-dependent LTD exhibit deficits in behavioral flexibility (Nicholls et al., 2008; Zeng et al., 2001), whereas mice with enhanced LTD show improvement in spatial reversal learning (Duffy et al., 2008). We found that LTD in ATF4-null mice was also significantly impaired, although less so than in ATF4 knockdown animals. This difference, which is most likely due to compensatory mechanisms, raises the question as to whether ATF4-null mice would show behavioral inflexibility; however, this question cannot be directly addressed due to their blindness.

We did not see effects of ATF4 knockdown on 24 hr contextual fear conditioning, a hippocampal-dependent paradigm (Phillips and LeDoux, 1992). This suggests either that ATF4 is not involved in the formation of associative memory or, since this task involves a fear component, that other brain regions not targeted by the lentivirus, such as the amygdala, compensate for the hippocampal deficit in ATF4 expression.

ApCREB2, the *Aplysia* homolog of ATF4, was previously described as an inhibitor of CREB-dependent, long-term facilitation (Bartsch et al., 1995; Lee et al., 2003b), and transgenic mice expressing a broad-spectrum, dominant-negative inhibitor of C/EBP proteins in excitatory forebrain neurons show reduced ATF4 expression, increased induction of synaptic potentiation, enhanced memory, and impaired LTD (Chen et al., 2003). However, interpretation of these findings is confounded by a possible interaction of the inhibitor with other members of the C/EBP family.

Phosphorylation of the translation factor eIF2 $\alpha$  decreases overall protein translation and selectively promotes ATF4 translation (Lu et al., 2004). In several previous studies, Costa-Mattioli et al. (2005, 2007) examined memory and synaptic plasticity after modifying eIF2 $\alpha$  phosphorylation in rodent brains. They found that knockout of the eIF2 $\alpha$  kinase GCN2 or knockin of phosphorylation-resistant eIF2 $\alpha$  decreased hippocampal ATF4 by 40%–50%, and suggested that the observed changes in synaptic plasticity and memory were due to altered ATF4 levels. Our study, which focused on direct regulation of ATF4, differs from those studies in that we consistently observed in both weak and strong protocols that ATF4 knockdown/deletion diminished initial LTP induction and had no evident effect on late-phase LTP. In contrast, Costa-Mattioli et al. (2005, 2007) observed effects on late-phase LTP when eIF2 $\alpha$  phosphorylation was modulated, and GCN2-null mice showed increased LTP induction in the weak protocol. They also reported no effect of GCN2 deletion on LTD. Furthermore, although ATF4 knockdown impairs spatial long-term memory, but not learning, Costa-Mattioli et al. (2005, 2007) found that GCN2 KO or treatment with the eIF2 $\alpha$  phosphatase inhibitor Sal003 (which elevates ATF4) diminished both learning and memory, whereas knockin of phosphorylation-resistant eIF2 $\alpha$  increased both learning and memory. These differences suggest that the effects of regulating eIF2 $\alpha$  phosphorylation on synaptic plasticity and memory may be due to

factors other than (or in addition to) modulation of ATF4 expression. Elevation of eIF2 $\alpha$  phosphorylation diminishes overall cap-dependent protein translation (Holcik and Sonenberg, 2005) and increases translation of transcripts in addition to those encoding ATF4 (Scheuner et al., 2001).

A considerable body of work suggests a positive role for ATF4 in memory formation, specifically in consolidation of object recognition memory (ILL-Raga et al., 2013), formation of fear extinction memory (Wei et al., 2012), and memory flexibility (Trinh et al., 2012). These studies targeted pathways such as PERK and HRI kinases. Additionally, a recent study on *Aplysia* sensorimotor long-term facilitation (LTF) provided evidence that LTF in this system requires increased post-synaptic ApCREB2 expression/activity (Hu et al., 2015). Interestingly, elevating ApCREB2 post-synaptically increased synaptic strength, whereas elevating it pre-synaptically decreased synaptic strength.

Implicit in our findings is the assumption that the effects we describe are due to pre-existing changes caused by long-term reduction/loss of ATF4 expression. That is, ATF4 permissively regulates the basal machinery required for synaptic plasticity rather than directly mediating plasticity events. Consistent with this idea, both ATF4-downregulated and ATF4 KO mice exhibited a significant drop in the induction of both hippocampal LTP and LTD without evident changes in the late phases of these events. It remains to be seen whether dynamic changes in ATF4 expression occur during vertebrate learning and memory formation, and if so, what role they play in these processes.

In conclusion, we find that ATF4 plays an essential role in hippocampal-dependent long-term spatial memory and behavioral flexibility, as well as in LTP, LTD, and glutamatergic synapse function. Combining these data with our previously published findings (Liu et al., 2014), we present a model in which dysregulation of Cdc42 levels in the absence of ATF4 causes reductions in the densities of dendritic mushroom spines and post-synaptic GluR1 and PSD95 puncta, which in turn produce a drop in glutamatergic synaptic functionality, eventually leading to deficits in synaptic plasticity and memory.

## EXPERIMENTAL PROCEDURES

### Mice

All animal studies were performed according to protocols examined and approved by the Animal Use and Care Committee of Columbia University. ATF4 KO mice were purchased from The Jackson Laboratory and the colony was expanded in the Columbia University Animal Facility. WT C57BL/6 male mice were purchased from The Jackson Laboratory. All animals were maintained on a 12 hr light/dark schedule and allowed ad libitum access to food and water. All experiments were conducted during the light phase and performed blinded as to the group of subjects.

### Surgical Procedures

C57BL/6 male mice (3 months old) were deeply anesthetized with ketamine-xylazine, placed in a stereotaxic apparatus, and injected bilaterally in the hippocampus with 2  $\mu$ l of a viral preparation (titers between  $10^7$  and  $10^9$  IU/ $\mu$ l;  $-2.45$  mm,  $\pm 1.8$  mm from Bregma, and  $-2$  mm from the outer surface of the skull) via a 31G needle attached to a 50  $\mu$ l Hamilton syringe, at a rate of 0.5  $\mu$ l/min over 4 min.

### Behavioral Tests

Detailed procedures for the behavioral tests are described in the [Supplemental Experimental Procedures](#).



### DNA Constructs

Lentiviral constructs were produced as previously described (Liu et al., 2014). shRNAs were cloned in pLVTHM vectors, and ATF4add and ATF4add/mut constructs were cloned in the pWPI vector (Addgene).

Lenti-ATF4add was generated by introducing point mutations into the recognized site of ATF4 (CCTGACTCTGCTGCTTAT to CCAGAGTCAG CTGCTTAC) using the QuikChange Site-Directed Mutagenesis kit (Stratagene). These point mutations do not change the amino acid coding in the sequence.

Lenti-ATF4add/mut was derived from lenti-ATF4add by introducing point mutations into the DNA-binding site (292RYRQKKR298 to 292GYLEAAA298).

### Primary Hippocampal Neuronal Cultures and Western Blotting

Details regarding the procedures used for primary hippocampal neuronal cultures and western blotting are described in the [Supplemental Experimental Procedures](#).

### qRT-PCR

Total RNA was extracted from mouse hippocampi 1 month after lentiviral infection according to the RNeasy Mini kit (QIAGEN) protocol. mRNA was then reverse transcribed into cDNA using the First-Strand cDNA Synthesis System for qRT-PCR (OriGene) according to the manufacturer's instructions.

### Electrophysiology

Detailed electrophysiological procedures are described in the [Supplemental Experimental Procedures](#).

### Statistical Analysis

Data are shown as means  $\pm$  SEM. For in vivo experiments, comparison between two groups was performed with a two-tailed unpaired Student's t test, and for in vitro experiments a two-tailed paired Student's t test was used. Comparison between multiple groups and comparison of curves were performed using two-way ANOVA, followed by a Bonferroni post hoc test when applicable. Statistical significance was set at  $p < 0.05$ .

### SUPPLEMENTAL INFORMATION

Supplemental Information includes Supplemental Experimental Procedures and three figures and can be found with this article online at <http://dx.doi.org/10.1016/j.celrep.2015.03.025>.

### AUTHOR CONTRIBUTIONS

S.P. and C.C. contributed equally in designing and conducting the experiments and performing data analysis. S.P. conducted stereotaxic injections and histology and behavioral experiments. C.C. conducted the electrophysiological experiments. J.L. provided DNA constructs and part of the neuron cultures. L.A.G. and M.L.S. conceived the project and directed its overall execution. All authors participated in writing and editing of the manuscript.

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