

Structural Brain Changes in Pre-Clinical FTD *MAPT* Mutation Carriers

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Abstract.

Background: Frontotemporal dementia (FTD) is the second most common cause of early-onset neurodegenerative dementia. Several studies have focused on early imaging changes in FTD patients, but once subjects meet full criteria for the FTD diagnosis, structural changes are generally widespread.

Objective: This study aims to determine the earliest structural brain changes in asymptomatic *MAPT* MUTATION carriers.

Methods: This is a cross-sectional multicenter study comparing global and regional brain volume and white matter integrity in a group of *MAPT* mutation preclinical carriers and controls. Participants belong to multiple generations of six families with five *MAPT* mutations. All participants underwent a medical examination, neuropsychological tests, genetic analysis, and a magnetic resonance scan (3T, scout, T1-weighted image followed by EPI (BOLD), MPRAGE, DTI, FLAIR, and ASL sequences).

Results: Volumes of five cortical and subcortical areas were strongly correlated with mutation status: temporal lobe (left amygdala, left temporal pole), cingulate cortex (left rostral anterior cingulate gyrus, right posterior cingulate), and the lingual gyrus in the occipital lobe. We did not find significant differences in whole brain volume, white matter hyperintensities volume, and white matter integrity using DTI analysis.

Conclusion: Temporal lobe, cingulate cortex and the lingual gyrus seem to be early targets of the disease and may serve as biomarkers for FTD prior to overt symptom onset.

Keywords: Atrophy, brain atrophy, early detection, frontotemporal lobar degeneration, *MAPT* mutation

INTRODUCTION

Frontotemporal dementia (FTD) is the second most common cause of early-onset neurodegener-

ative dementia [1]. Up to 40% of FTD cases are associated with an autosomal dominant pattern of inheritance. Mutations in over eight genes have been identified in FTD, including progranulin (*GRN*), chromosome 9 open reading frame (*C9orf72*), and microtubule-associated protein tau (*MAPT*) genes [2, 3]. Neuroimaging has been explored as a potential biomarker to identify patients in initial phases of

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neurodegenerative diseases and to measure biological change over time [4]. Several studies have focused on early imaging changes in FTD patients [5–10], but once subjects meet full criteria for FTD diagnosis, structural changes are generally widespread [11]. Studying mutation carriers who are asymptomatic or transitioning from asymptomatic to symptomatic (what we will refer to as “preclinical”) [12] may allow for characterization of the earliest neuroimaging changes in disease [13–17].

Studies specifically addressing preclinical neuroimaging features in *MAPT* mutation carriers are scarce. Some studies [18, 19] have demonstrated early insular atrophy, and others early medial temporal degeneration [20, 21]. Also, some studies have reported early white matter changes in preclinical FTD patients [22–25], but those performed specifically in *MAPT* mutation carriers have not [26]. Our institution has access to a relatively large, broadly phenotyped group of *MAPT* mutation carriers and familial matched controls that brings the opportunity to study early imaging changes in a well characterized population to address the inconsistencies found in previous studies. With that purpose, we compare cortical and subcortical gray matter volumes as well as white matter hyperintensities and tract-integrity between *MAPT* mutation carriers and demographically-matched familial controls.

MATERIALS AND METHODS

Participants

Participants were recruited from an active, longitudinal research protocol (R01NS076837) that includes multiple generations of six families with *MAPT* mutations: V337M (c.2014G>A), P301L (c.1907C>T), Exon 10+14 C>T, Exon 10+15 C>T, and Exon 10+16 C>T. Members of these families live throughout areas of the United States and Europe. Those who consented to participate in the study were followed at Columbia University Medical Center, the University of Michigan, and the Dublin Neurological Institute.

Sixty subjects were enrolled and 56 completed the baseline visit, during which genetic, biological, neuroimaging, and clinical data were collected. Four subjects did not complete the study due to rejection to undergo all the exams. No imaging studies were rejected for the analysis due to quality issues. Sample characteristics are displayed in Table 1.

Clinical assessments

Most participants, and investigators whenever possible, were blind to carrier status. A full history and physical and neurological examination was performed by one of the study physicians at the enrolling clinical site. The Clinical Dementia Rating (CDR) Scale, including the language and behavior components (CDR[®] Plus NACC FTLD), as well as cognitive, behavioral, and psychiatric measures was completed as part of this evaluation (Table 1). Informants provided input for the clinical assessment and participated in the completion of behavioral interviews administered by the study coordinator. Participant cognitive status was characterized using tests from the National Alzheimer’s Coordinating Center (NACC) UDS 2.0 Neuropsychological Battery and NACC UDS 2.0 FTLD Module. This evaluation included assessment of memory function (Mini-Mental State Examination, Selective Reminding Test immediate and delayed recall, Selective Reminding Test discriminability index), verbal function (categorical fluency, Boston Naming Test, Controlled Oral Word Association (COWA)), visual cognition (Benson figure), executive function (Trail A, Trail B, COWA, 20 Q’s, Design Fluency, Graphic Pattern Generation), social abilities (Social Norms Questionnaire 22, Empathic Concern Score, Perspective Taking Score, Revised Self-Monitoring Scale), other frontal lobe functional tests (Remote Associates Test) as well as depression and anxiety (Neuropsychiatric Inventory).

Genetic analysis

Blood was collected through standard phlebotomy procedures at the Columbia University Medical Center (Irving Center for Clinical and Translational Research), University of Michigan, and the Dublin Neurological Institute. Fifteen cc of blood were collected, including citrate tubes for DNA isolation and heparin tubes for plasma isolation. DNA was prepared from whole blood using standard protocols in the Columbia Human Genetics Resources Core. Polymerase chain reaction (PCR) and amplification were performed in all samples. The PCR and sequencing primers used for amplification and sequencing were designed using the software Primer 3 (<http://frodo.wi.mit.edu/primer3/>). Cycle sequencing in forward and reverse directions was performed on purified PCR products and run on an ABI 3730 genetic analyzer (Applied Biosystems,

Table 1

Demographic information about age, gender, and education. Results of neuropsychological tests adjusted (by age, gender, and education). By Fisher exact test/2-sided Wilcoxon test, there is not significant difference of age, gender, and education between carriers and non-carriers

Clinical features	Non-carrier (n = 44)		Carrier (n = 12)		Carrier (CDR 0) (n = 6)		Carrier (CDR 0.5) (n = 6)	
	Count	%	Count	%	Count	%	Count	%
Gender								
Female	24	54.55	8	66.67	4	66.67	4	66.67
Male	20	45.45	4	33.33	2	33.33	2	33.33
	Mean	SD	Mean	SD	Mean	SD	Mean	SD
Age	44.64	13.40	48.83	13.37	39.00	11.52	58.67	5.32
Education	15.18	2.33	15.25	2.14	15.33	2.07	15.17	2.40
Neuropsychiatric tests	Mean	SD	Mean	SD	Mean	SD	Mean	SD
SNQ22	-0.29	0.94	-1.18	1.3	-1.12	1.77	-1.24	0.74
EC	-0.02	1.1	-0.45	1.12	0.17	1.3	-1.07	0.39
PT	0.08	1.09	-1.06	1.27	-0.4	1.42	-1.72	0.7
RSMS (Z-score)	-1.6	1.2	-2.67	1.94	-1.49	1.93	-3.86	1.11
SRT ¹	46.06	10.44	32.47	19.87	50.42	4.34	14.52	8.73
SRT ²	48.33	8.81	37.62	18.22	53.26	6.16	21.98	10.26
MMSE	0.59	0.73	-0.25	1.45	0.25	1.13	-0.75	1.66
Cat. F (Animals)	-0.46	0.79	-1.32	1.27	-0.35	0.54	-2.28	1.01
Trail-A (Z-score)	-0.35	0.61	-1.09	1.41	-0.48	0.44	-1.69	1.82
Trail-B (Z-score)	-0.4	0.74	-0.89	1.01	-0.56	0.3	-1.23	1.37
BNT (Z-score)	-0.53	0.67	-2.81	2.67	-0.73	0.71	-4.88	2.2
Benson Figure Copy (Z-score)	0.73	1.03	0.31	1.2	0.59	1.05	0.03	1.37
CFL	47.2	9.32	42.25	12.06	47	9.36	37.5	13.35
SRT	0.97	0.04	0.87	0.19	0.99	0.03	0.75	0.21
20 Q's	11.69	2.48	10.17	3.33	12	1.79	8.33	3.61
RAT	15.98	0.15	15.17	1.34	15.83	0.41	14.5	1.64
DF	17.41	1.88	13.82	7.61	18.67	1.51	8	8.03
GPG ¹	6.83	4.07	3.7	4.6	3.7	4.6	3.7	5.14
GPG ²	309.2	181.1	310.9	206.7	261.6	155.9	360.2	256.4
NPI*	Non-carriers (n = 44)		Carriers (n = 12)		Carriers CDR 0 (n = 6)		Carriers CDR 0.5 (n = 6)	
Agitation	4.88%		45.45%		0.00%		83.33%	
Anxiety	9.76%		40.00%		20.00%		60.00%	
Apathy	2.44%		36.36%		0.00%		66.67%	
Appetite	9.76%		27.27%		0.00%		50.00%	
Delusions	2.44%		0.00%		0.00%		0.00%	
Depression/dysphoria	9.76%		27.27%		20.00%		33.33%	
Disinhibition	4.88%		45.45%		0.00%		83.33%	
Elation	0.00%		27.27%		0.00%		50.00%	
Hallucinations	0.00%		9.09%		0.00%		16.67%	
Irritability	4.88%		36.36%		0.00%		66.67%	
Motor disturbance	2.44%		18.18%		0.00%		33.33%	
Nighttime behaviors	4.88%		18.18%		0.00%		33.33%	

SNQ22, Social Norms Questionnaire (Z-score); EC, Interpersonal Reactivity Index Empathic Concern Score (Z-score); PT, Interpersonal Reactivity Index Perspective Taking Score (Z-score); RSMS, Revised Self-Monitoring Scale (Z-score); SRT¹, Immediate Recall T-score (total score); SRT², Delayed Recall T-score (Total score); MMSE, Mini-Mental State Examination Total score (Z-score); Cat.F Animals, Category Fluency for Animals (Z-score); Trail-A, Trail Making Test part A (Z-score); Trail-B, Trail Making Test part B (Z-score); BNT, Boston Naming Test (Z-score); Benson Figure (Z-score); CFL, Controlled Oral Word Association (COWA) Test using C, F, and L; SRT, Selective Reminding Test Discriminability index; 20 Q's, 20 questions from DKEFS Total Weighted Achievement - Scaled Score; RAT, Remote Associates Test Total Score; DF, Design Fluency total correct score; GPG¹, Graphic Pattern Generation Perseveration Distance; GPG², Graphic Pattern Generation Perseveration Time (in seconds), NPI, Neuropsychiatric Inventory. *3 non-carriers with CDR 0 (ES012, ES024, ES036) and 1 carrier with CDR 0 (ES038) do not have NPI-Q data.

Foster City, CA). Sequence chromatograms were viewed and genotypes determined using Sequencher (Genecodes). Samples were stored frozen at -80°C. Subjects did not receive DNA results as part of the current study. Patients were screened for mutations in other genes associated with FTD besides *MAPT*.

Imaging procedures

A number of imaging modalities were acquired during a 1 h magnetic resonance (MR) scan performed in NY and Dublin in a 3.0T Philips Achieva Quasar Dual Magnet using a 240 mm field of view.

149 A scout, T1-weighted image was first acquired to
 150 determine patient position, followed by echo planar
 151 imaging (blood oxygenation level dependent),
 152 magnetization-prepared rapid acquisition with gra-
 153 dient echo (MPRAGE), diffusion tensor imaging
 154 (DTI), fluid-attenuated inversion recovery (FLAIR),
 155 and arterial spin labeling sequences. The following
 156 parameters were used:

- 157 * T1-weighted: repetition time = 20 ms, echo
 158 time = 2.1 ms, field of view = 240 cm, and
 159 256 ± 160 matrix with 1.3 mm slice thickness.
- 160 * FLAIR: repetition time = 11,000 ms, echo
 161 time = 144.0 ms, inversion time = 2800 ms, field
 162 of view = 25 cm, 2 NEX, and 256 ± 192 matrix
 163 with 3 mm slice thickness.
- 164 * DTI: repetition time = 11032 ms, echo
 165 time = 69 ms, acquisition time 6 mins, slice
 166 thickness 2 mm.

167 A neuroradiologist reviewed each subject's MRI
 168 scan for clinical abnormalities. Scanning proce-
 169 dures were standardized between all centers using
 170 methods previously described [27] conducted in
 171 person by a radiologist from CUMC. Structural
 172 imaging measures of global and regional brain
 173 volume were derived from each individual's T1-
 174 weighted MPRAGE image using Freesurfer software
 175 (<http://surfer.nmr.mgh.harvard.edu/>). For brain vol-
 176 ume calculations, we used the procedures of Walhovd
 177 et al. [28] to automatically assign a neuroanatomical
 178 label to each voxel, with results comparable to man-
 179 ual labeling. From this labeling, volumetric regions
 180 of interest (ROIs) were defined. The calculated vol-
 181 ume within each region was adjusted for variations
 182 in individual global brain volume with a measure
 183 of total intracranial volume (TIV). We decided to
 184 compare values of all the different areas, without
 185 defining ROIs *a priori*. This method allows an unbi-
 186 ased assessment of patterns of atrophy across the
 187 whole brain without limiting the number of potential
 188 measurements performed in the study [29]. In order
 189 to measure white matter hyperintensities, each par-
 190 ticipant's FLAIR image was skull stripped and after,
 191 voxel intensity values of the remaining image were
 192 analyzed using a Gaussian curve. Hyper-intense vox-
 193 els were defined using a threshold of 2.1 SD above the
 194 mean intensity. They were labeled and measured in
 195 cubic centimeters multiplying the number of voxels
 196 for the voxel's dimensions.

197 DTI data was processed in FMRIB's Diffusion
 198 Toolbox (FDT), distributed as part of FMRIB's
 199 Software Library [30], by first preprocessing with

200 eddy-current correction followed by fitting of the
 201 DTI model to the preprocessed data. To align all
 202 subjects into the same common space, tract-based
 203 spatial statistics [31] was run on the fractional
 204 anisotropy (FA) maps using the nonlinear registra-
 205 tion tool FNIRT [32, 33] and then the mean FA image
 206 was created and thinned to create a mean FA skeleton,
 207 representing the centers of all tracts common to the
 208 group. Each subject's aligned FA data was then pro-
 209 jected onto this skeleton and created a skeletonized
 210 map per subject. To extract 20 tracts of interest, Johns
 211 Hopkins University (JHU) white matter tractography
 212 atlas [34] was used as masks to obtain the mean FA
 213 for each tract for each participant.

214 *Standard protocol approvals, registrations, and* 215 *participant consents*

216 Approval for this study was obtained from the
 217 appropriate IRB and ethics boards of Columbia and
 218 University of Michigan Medical Centers and the
 219 Dublin Neurological Institute. Written informed con-
 220 sent was obtained from all participants.

221 *Statistical analysis*

222 For statistical analysis, stats (v 3.3.0), glmnet (v
 223 2.5.0) [35], and pROC (v 1.9.1) [36] packages imple-
 224 mented in R (v.3.3.1) were used. As a primary
 225 analysis, we hypothesized group differences in 16
 226 bilateral subcortical, 68 bilateral cortical ROI vol-
 227 umes, and 5 white matter hyperintensity volumes
 228 (frontal, temporal, parietal, occipital, and basal gan-
 229 glia). The volumetric measures were corrected for age
 230 and TIV. Group comparison between mutation carri-
 231 ers and non-carriers was conducted using Wilcoxon
 232 test followed by multiple comparison correction con-
 233 trolling for false discovery rate [37]. Wilcoxon test
 234 analysis followed by multiple comparison was also
 235 performed for each ROI for both CDR 0 and CDR 0.5
 236 carriers, residualized for age and TIV. Regarding DTI
 237 results, group analysis was conducted on the mean
 238 FA for the 20 tracts to compare carriers versus non-
 239 carriers. Age was included as a covariate to remove
 240 the confound of age and correction for multiple com-
 241 parisons was performed using the false discovery rate
 242 [37].

243 We also evaluated discriminability of the volumes
 244 using penalized logistic regression with elastic-net
 245 [38] and receiver operating characteristic (ROC)
 246 analysis, adjusted by clinical covariates. To select
 247 tuning parameters for elastic-net, leave-one-out cross

validation was used ($\alpha = 1$, $\lambda = 0.0469$). We did a 500 iteration bootstrapping to calculate the consistency of selecting each of the ROIs into the multivariate model.

RESULTS

We analyzed data from 56 participants belonging to five families carrying *MAPT* mutations. Twelve subjects were determined to be carriers of the following mutations: P301L (one subject), Exon 10 + 16 C>T (one subject), Exon 10 + 15 C>T (two subjects), V337M (c.2014G>A) (four subjects), and Exon 10 + 14 C>T (four subjects). Forty-four subjects were non-carriers. Demographic characteristics of the sample and performance in neuropsychological testing are displayed in Table 1. Subjects were considered preclinical if they did not fulfill FTD diagnostic criteria. We use the term “preclinical” rather than “presymptomatic” as this group includes those that scored 0 and 0.5 in CDR. CDR = 0 carriers had no cognitive or behavioral impairment, but all CDR = 0.5 carriers had one or more abnormal neuropsychological score, and most also had questionable or mild behavioral impairment as indicated on the Behavior, Compartment, and Personality rating of the CDR Supplemental scores (FTLD-CDR) [39]. However, as these abnormalities were considered as questionable by raters and they did not fulfill diagnostic criteria for FTD, patients with CDR score of 0.5 were included in the preclinical group. There were no significant differences in age, gender, and years of education between the groups.

For each ROI, Freesurfer-derived raw volumes were converted to percentage of TIV prior to the analyses. The resulting data were analyzed using two models, a Wilcoxon analysis and a multivariate elastic-net model. In Wilcoxon analysis, 32 of 89 measures show significant between-group differences after multiplicity adjustment (Table 2), including caudate, putamen, hippocampus, amygdala, *nucleus accumbens*, and several regions of the frontal and temporal lobes. Over 500 iteration bootstrapping, five measures were constantly selected (with over 80% selection rate), demonstrating a potential strong association with mutation status (Table 2, Fig. 1). These five ROIs are left amygdala (selection percentage 0.83), rostral anterior cingulate (selection percentage 0.91), posterior cingulate (selection percentage 0.81), temporal pole (selection percentage 0.92), and lingual volume (selection per-

centage 0.83). Among these five, the lingual area and posterior cingulate did not come out significant in Wilcoxon’s test, likely because they are only strong predictors of mutation status when other ROIs are controlled. No significant difference was found in whole brain volume between mutation carriers and non-carriers. Results remained the same after recalculating bilateral selection percentage over 500 bootstrap iterations as when considering both hemispheres separately. Therefore, for those ROIs, the left and right sides were not competing. When considering the sum of ROIs on both sides, four measures were selected over 80% selection rate: white matter hyperintensities in the occipital lobe (selection percentage 0.862), temporal pole volume (selection percentage 0.97), lingual volume (selection percentage 0.876), and posterior cingulate volume (selection percentage 0.84).

We performed a subgroup analysis comparing CDR 0 and CDR 0.5 carriers (2-sided Wilcoxon Test after adjustment for multiple comparisons). We found significant differences in 18/89 measures after multiplicity adjustment with larger volumes in CDR 0 subgroup (shown in Table 3 with an *, values available in the Supplementary Table 1). Most of these ROIs are the same that were significantly different between carriers and non-carriers. Only left superior frontal gyrus (CDR0 = 1.26 versus CDR0.5 = 0.97, $p = 0.04$) and right precentral gyrus (CDR0 = 0.77 versus CDR0.5 = 0.63, $p = 0.049$) showed differences between CDR subgroups that were not present when comparing carriers and non-carriers. These results should be considered with caution, as the number of subjects for the subgroup analysis is very low.

We did not find significant differences between carriers and non-carriers in the volume of white matter hyperintensities. There was only a weak difference after adjusting results by age and TIV, which did not survive after adjustment for multiple comparisons and elastic-net analysis (Table 2). We found a significant difference for white matter hyperintensities in the basal ganglia between CDR 0 and CDR 0.5 preclinical carriers (CDR 0 = $2.69E-05$ versus CDR 0.5 = $4.49E-06$; $p = 0.03$) after adjustment for multiple comparisons.

DTI measures were available for 9 carriers and 40 controls due to technical issues during the neuroimaging exam. After comparing DTI results between groups, we found significant differences between carriers and non-carriers for the left cingulum at the cingulate gyrus (0.579 ± 0.055 versus 0.609 ± 0.034 ; $p = 0.041$), right cingulum at the cingulate gyrus

Table 2

Wilcoxon analysis and multivariate Elastic-net analysis. In Wilcoxon analysis 32/89 measures show significant between group differences after multiplicity adjustment. In Elastic-net model, 10/89 ROIs are selected into the model. Over 500 iteration bootstrapping, 5 measures are constantly selected (with over 80% selection rate) demonstrating a potential strong association with mutation status. The column 'Either' is a surrogate measure of the % selection for either left or right hemisphere of the specific ROI. When considering bilateral selection %, results remain the same as considering both hemispheres separately

Region	2-sided Wilcoxon test results				Percentage of selection		
	Raw data		multiple adjustment: FDR (HL:<0.05)		over 500 Bootstrap iterations		
White matter hyperintensities							
Frontal_lobe		0.4129		0.4821			0.480
Parietal_lobe		0.1909		0.2879			0.522
Occipital_lobe		0.0634		0.2467			0.706
Temporal_lobe		0.0515		0.0794			0.384
WMH_Basal_ganglia*		0.1494		0.2770 ¹			0.130
Gray matter	Left	Right	Left	Right	Left	Right	Either
Thalamus.	0.1736	0.2151	0.1665	0.4349	0.106	0.222	0.298
Caudate	0.0234	0.0396	0.0166	0.0223	0.584	0.584	0.670
Putamen	0.0246	0.0197	0.0243	0.0364	0.298	0.156	0.306
Pallidum	0.4834	0.3081	0.7957	0.6757	0.304	0.264	0.442
Hippocampus	0.0040	0.0186	0.0281 ¹	0.0263 ¹	0.636	0.174	0.640
Amygdala	0.0007	0.0137	0.0125 ¹	0.0313 ¹	0.834	0.342	0.838
Accumbens area	0.0074	0.0040	0.0405	0.0241 ¹	0.320	0.282	0.426
Ventral diencephalon	0.4236	0.4834	0.6564	0.7736	0.252	0.326	0.390
Banks of superior temporal sulcus	0.0918	0.0208	0.2233	0.0271	0.514	0.510	0.714
Caudal ant.Cingulate [†]	0.0999	0.4470	0.0815	0.4957	0.490	0.510	0.736
Caudal middle frontal	0.4470	0.0233	0.7605	0.0736	0.422	0.282	0.514
Cuneus	0.0770	0.1317	0.1362	0.2664	0.152	0.352	0.442
Entorhinal areal	0.0057	0.1228	0.0192	0.2326	0.266	0.192	0.372
Fusiform gyrus	0.0737	0.0274	0.0794	0.0271	0.268	0.266	0.402
Inf. parietal gyrus [‡]	0.0558	0.1777	0.0949	0.2233	0.082	0.184	0.226
Inf. temporal gyrus	0.0042	0.0030	0.0125 ¹	0.0159 ¹	0.494	0.506	0.610
Isthmus cingulate	0.4122	0.5425	0.5165	0.9399	0.316	0.090	0.370
Lateral occipital gyrus	0.2987	0.4122	0.1360	0.4889	0.082	0.200	0.252
Lateral orbitofrontal	0.0222	0.0131	0.0488	0.0166 ¹	0.168	0.286	0.348
Lingual gyrus	0.6012	0.9443	0.9399	0.5813	0.440	0.828	0.838
Medial orbitofrontal	0.0305	0.0427	0.0488	0.0736	0.326	0.212	0.444
Middle temporal	0.0050	0.0030	0.0281	0.0125 ¹	0.200	0.700	0.702
Parahippocampal gyrus	0.0515	0.2677	0.0488	0.4089	0.522	0.146	0.552
Paracentral gyrus	0.1438	0.2805	0.2230	0.5165	0.448	0.274	0.586
Pars opercularis	0.1291	0.1438	0.1932	0.2099	0.372	0.152	0.460
Pars orbitalis	0.0103	0.0504	0.0166	0.1299	0.544	0.348	0.636
Pars triangularis	0.2120	0.0918	0.2716	0.2879	0.284	0.266	0.374
Pericalcarine gyrus	0.2463	0.3229	0.6757	0.5165	0.216	0.446	0.500
Postcentral gyrus	0.5605	0.3229	0.6634	0.5884	0.122	0.330	0.394
Post. cingulate gyrus [¶]	0.7007	0.8981	0.9764	0.9294	0.448	0.814	0.838
Precentral gyrus	0.3899	0.1317	0.4089	0.1362 ¹	0.224	0.078	0.266
Precuneus	0.3683	0.2084	0.2517	0.2279	0.222	0.190	0.326
Rost. ant.cing. gyrus [#]	0.0022	0.1170	0.0042 ¹	0.2056	0.912	0.112	0.914
Rostral mid.frontal gyrus ^{**}	0.0879	0.0305	0.0405	0.0159 ¹	0.100	0.630	0.642
Superior frontal gyrus	0.0619	0.0613	0.0837 ¹	0.0562	0.086	0.218	0.278
Superior parietal gyrus	0.1383	0.5605	0.0794	0.4154	0.102	0.076	0.142
Sup. temporal gyrus ^{††}	0.0102	0.0068	0.0159	0.0141 ¹	0.196	0.328	0.454
Supramarginal gyrus	0.4352	0.0131	0.6757	0.0488	0.414	0.250	0.506
Frontal pole	0.7494	0.2048	0.6757	0.4089	0.506	0.160	0.560
Temporal pole	0.0018	0.0195	0.0159	0.0313 ¹	0.924	0.628	0.958
Transverse temporal gyrus	0.0704	0.4236	0.1360	0.7736	0.654	0.322	0.712
Insula	0.0260	0.0208	0.0159 ¹	0.0488 ¹	0.324	0.334	0.484

The 5 ROIs are: left amygdala, left rostral anterior cingulate, left temporal pole, right lingual gyrus, and right posterior cingulate gyrus. Among these 5, right lingual gyrus and right posterior cingulate did not come out significant in Wilcoxon's test, probably because they are only a strong predictor of the mutation status when other ROIs are controlled. *White matter hyperintensities basal ganglia; [†]caudal anterior cingulate; [‡]Inferior parietal gyrus; [¶]Inferior temporal gyrus; [§]Posterior cingulate gyrus; [#]Rostral anterior cingulate gyrus; ^{**}Rostral middle frontal gyrus; ^{††}Superior temporal gyrus. ¹ROIs that showed significant differences between CDR 0 carriers and CDR 0.5 carriers.

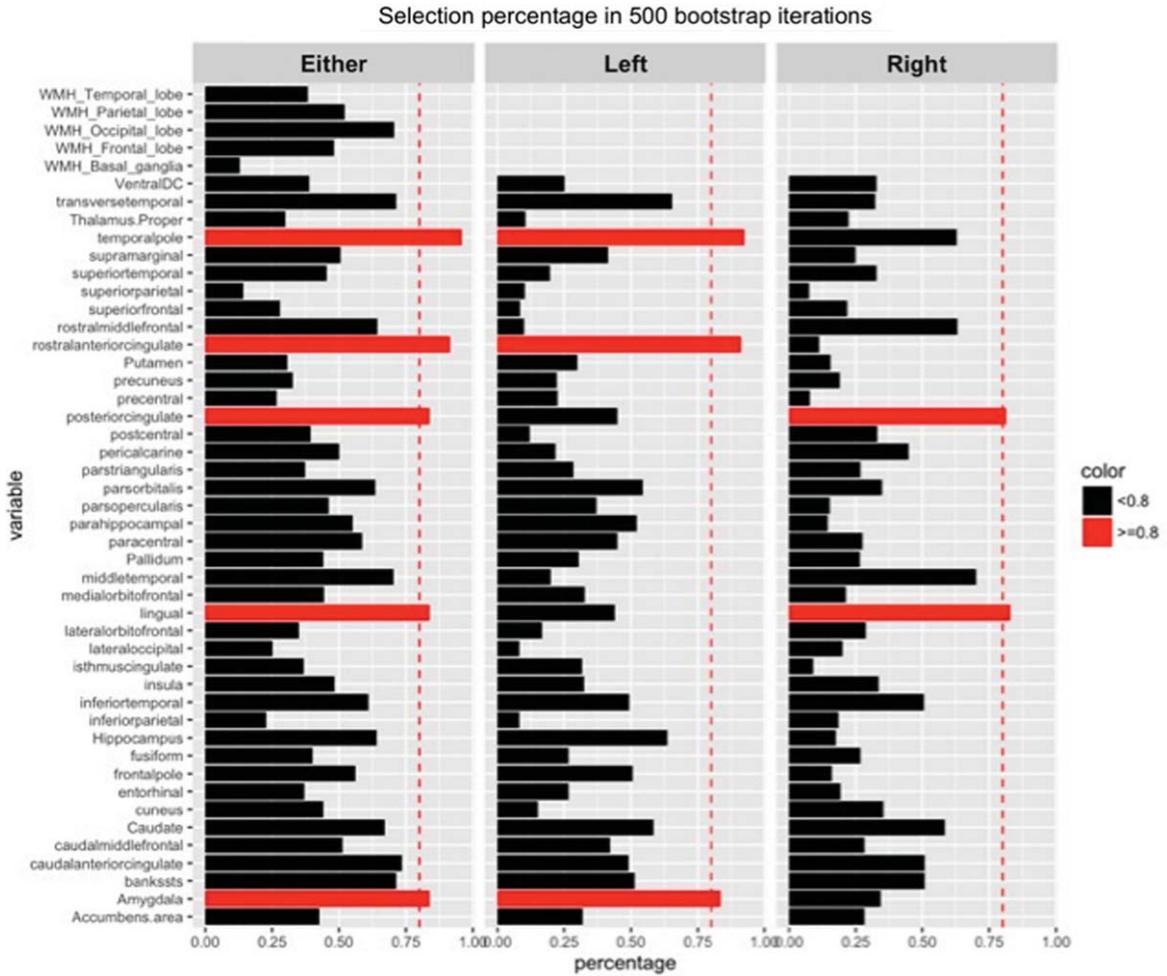


Fig. 1. Multivariate analysis: Elastic net. Percentage of selection over 500 Bootstrap iterations. In Elastic-net model, 10/89 ROIs are selected into the model. Over 500 iteration bootstrapping, 5 measures are constantly selected (with over 80% selection rate), demonstrating a potential strong association with mutation status. This is a recalculation of selection percentage based on the bootstrap results. The column 'Either' is a surrogate measure of the % selection for either left or right hemisphere of the specific ROI. When considering bilateral selection %, the results remain the same as considering both hemispheres separately. It could be shown from the graph that for those ROIs, the bilateral ROIs seems not competing with each other.

(0.531 ± 0.053 versus 0.562 ± 0.038 ; $p = 0.045$), and left cingulum at the hippocampus (0.522 ± 0.074 versus 0.563 ± 0.047 ; $p = 0.039$). However, after adjusting these results for age, the differences were no longer significant.

DISCUSSION

In this study, we report early structural changes in pre-clinical *MAPT* mutation carriers measured using voxel-based morphometry and DTI analysis. Prior to overt symptom onset, *MAPT* mutation carriers in our sample showed volume differences in almost 30% of the 89 regions explored, including basal ganglia (cau-

date, putamen), temporal lobe (in particular medial temporal lobe), and some areas of the cingulate gyrus and the medial frontal lobe. Most areas were equally affected in both hemispheres, with a symmetrical distribution that has been previously described in *MAPT* mutation patients [19, 40]. Using a much more restrictive statistical analysis five regions were consistently associated with mutation status including the left temporal lobe (left amygdala, left temporal pole), bilateral cingulate cortex (left rostral anterior cingulate gyrus, right posterior cingulate), and the lingual gyrus in the occipital lobe.

Until now, studies regarding early structural changes in asymptomatic *MAPT* carriers have shown

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375 inconsistent results: while several small case series
376 had reported neuroimaging differences in asymp-
377 tomatic subjects, other studies did not find any
378 structural change [40, 41]. Those studies that found
379 differences point to early degeneration in the tempo-
380 ral lobe, medial frontal lobe, and cingulate cortex. An
381 earlier study, published in 2010 by Miyoshi et al. [21],
382 found the medial temporal lobe and cingulate gyrus
383 affected (hippocampal atrophy and striatal dopamin-
384 ergic dysfunction). The most recent work shows
385 also emerging grey matter temporal lobe changes
386 in *MAPT* asymptomatic mutation carriers [42]. Our
387 results agree partially with these findings as well as
388 with the largest study in asymptomatic mutation car-
389 riers to date [19, 20], which found differences in
390 hippocampal and amygdala volumes as early as 15
391 years prior to expected symptom onset, in the tempo-
392 ral lobe 10 years before expected onset and in insula 5
393 years before expected symptom onset. However, after
394 correction for multiple comparisons, only changes in
395 the insular area remained significant. Ours study sup-
396 ports these previous results, confirming differences in
397 hippocampus, amygdala, the insular area, and tempo-
398 ral lobe between carriers and non-carriers, which
399 survived correction for multiple comparisons. More-
400 over, we found significant differences between basal
401 ganglia volume (caudate, putamen), some areas of
402 the frontal lobe, and the cingulate gyrus. Our results
403 survived multiple comparison correction even though
404 we applied a much more restrictive statistical method
405 in order to determine the strongest association with
406 mutation status. If we consider only the elastic-
407 net model results, the amygdala and temporal lobe
408 remain affected in our sample, whereas there were
409 no differences for hippocampus and insula volumes.
410 Considering that the insula was the last structure
411 affected in the GENFI study, it is possible that the
412 carriers in our cohort had not yet reached that stage of
413 progression. Both studies have important differences
414 regarding sample characteristics, statistical method-
415 ology, and imaging analysis. Our sample includes
416 fewer asymptomatic carriers than the GENFI study;
417 however, subjects came from only five families car-
418 rying five mutations, as opposed to the GENFI 17
419 different families of *MAPT* carriers carrying ten dif-
420 ferent mutations. Considering that FTD is a protean
421 pathology [11] in which disease phenotypes may
422 vary substantially between families and individuals
423 with different mutations [43], our sample's charac-
424 teristics can add to the body of knowledge currently
425 available. Regarding neuroimaging, our study was
426 performed at only three sites and we used only one

427 type of MRI scan, which increases the consistency
428 of the results. We examined the volume not only
429 of the brain lobes as a whole, but also of specific
430 areas in each lobe. Regarding white matter changes,
431 our results agree with the findings of the GENFI
432 cohort [26], which did not report any difference in
433 white matter hyperintensities either in clinical and
434 preclinical *MAPT* mutation carriers when compared
435 to controls. Interestingly, our analysis was performed
436 using FLAIR sequence, which is the standard for the
437 study of white matter hyperintensities and is usu-
438 ally available in clinical practice, while the initial
439 GENFI cohort used T2-weighted images. Since white
440 matter hyperintensities do not reflect white matter
441 integrity, we performed DTI analysis in our sample,
442 where we did not find significant differences in our
443 groups after adjusting for age. Previous studies have
444 found white matter involvement in *MAPT* mutation
445 patients [22–25], particularly in the uncinate fascicu-
446 lus [42, 44]. A recent study published in 2019 showed
447 a disproportional volume loss of the right temporal
448 lobe and more fractional anisotropy decline in the
449 uncinate fasciculus of *MAPT* carriers converting to
450 clinical FTD [45]. This study includes a follow-up
451 phase and compares converters, non-converters, and
452 non-carriers. Differences between groups were only
453 evident 2 years before symptom onset, while 4 years
454 before symptom onset these differences did not exist.
455 In our study we did not differentiate converters and
456 non-converters and we performed one cross-sectional
457 analysis, without controlling for time to onset. These
458 methodological disparities could explain the different
459 results regarding white matter integrity.

460 Studies performed in symptomatic subjects have
461 been much more numerous, although once the disease
462 is clinically noticeable, structural changes are gener-
463 ally widespread. Moreover, many of these studies are
464 performed in sporadic forms of the disease, which
465 may differ from genetic cases. However, neuropatho-
466 logical studies performed in sporadic FTD patients
467 who died early in the course of their illness [5] show
468 atrophy in some of the areas affected in our study:
469 the frontal lobe, the medial temporal lobe (hippocam-
470 pus, amygdala), and anterior cingulate gyrus. Some
471 authors studying specifically neuroimaging changes
472 in *MAPT* mutation patients [8, 40] report predomi-
473 nant gray matter loss in the temporal lobe, particularly
474 the anterior and medial temporal lobe, with varying
475 degrees of frontal and parietal lobe involvement in
476 clinically diagnosed FTD. The orbitofrontal cortex,
477 ventral insula, and anterior cingulate have also been
478 found affected [7]. When subjects with mutations

479 in the *MAPT* gene show a widespread pattern of
480 frontotemporal gray matter loss, the most severely
481 affected regions are the anteromedial temporal lobes,
482 suggesting that this may be the first area affected by
483 the disease [8]. *MAPT* patients tend to show sym-
484 metric patterns of atrophy [46], with no differences
485 between left and right hemisphere when comparing
486 bilateral regions of interest. This is consistent with
487 our sample of preclinical mutation carriers where
488 most areas in both hemispheres were also equally
489 affected, suggesting that the disease begins and pro-
490 gresses symmetrically.

491 Overall, the atrophy profile observed in *MAPT*
492 patients involves a ventral orbitofrontal-medial
493 temporal-ventral insula network [9]. Dysfunction
494 of this network has been associated with poor
495 performance on memory and naming, executive dys-
496 function, and language deficits, widely recognized
497 in FTD [47]. Patients commonly develop semantic
498 impairment later in the disease, as well as prominent
499 episodic memory difficulties [9]. Other structures
500 affected in our sample (amygdala and cingulate cor-
501 tex) belong to the rostral limbic system, which has
502 been suggested to underlie FTD symptoms [47].
503 This system integrates limbic structures with output-
504 related structures: the amygdala processes the value
505 of internal and external stimuli, represents that value
506 in the form of emotion to the brain and associates this
507 emotion to external stimuli. Moreover, the amygdala,
508 in close connection with the ventromedial prefrontal
509 and anterior cingulate cortices, contributes to other
510 higher order functions such as decision-making, the-
511 ory of mind, and emotional processing [48, 49], while
512 the anterior section of the cingulate cortex detects
513 conflict within ongoing information processing and
514 integrates information from different structures of the
515 circuit [50].

516 There is evidence that early changes in connectiv-
517 ity could precede the occurrence of regional atrophy.
518 Some authors studied asymptomatic mutation car-
519 riers using functional neuroimaging and reported
520 changes in connectivity, metabolic structure, and
521 blood flow without structural changes [18], suggest-
522 ing that structural imaging changes may appear after
523 deficits in functional networks have been going on for
524 some time. Alberici et al. [51] reported significant
525 reductions of frontal lobe blood flow (dorsolateral
526 frontal cortex, frontal poles, and mesial frontal cor-
527 tex) in an asymptomatic *P301L* mutation carrier using
528 SPECT, although these changes were not evident
529 in structural brain imaging. Dopper and colleagues
530 [41] reported frontal, posterior temporal, and pari-

531 etal hypoperfusion in asymptomatic *MAPT* and *GRN*
532 mutation carriers. Whitwell et al. [18] compared
533 functional connectivity in *MAPT* mutation carriers,
534 healthy controls, and bvFTD patients. Although there
535 was no significant reduction of salience network con-
536 nectivity in *MAPT* carriers, there was a suggestion of
537 reduced connectivity in the anterior cingulate, one of
538 the areas affected in our cohort's *MAPT* mutation car-
539 riers. The aforementioned studies suggest that once
540 changes are noticeable using structural neuroimag-
541 ing, deficits in functional brain networks may have
542 been going on for some time.

543 This study was performed in a well-characterized
544 and broadly phenotyped group of asymptomatic
545 *MAPT* mutation carriers and familial matched con-
546 trols. We would like to remark the strength of our
547 findings: *MAPT* carriers and controls were recruited
548 from the offspring generation of only five families,
549 and were matched by age and family for analy-
550 ses. Age, sex, and education were included in all
551 analyses as covariates to further reduce potential con-
552 founds. The differences we found between carriers
553 and non-carriers survived multiple comparisons and
554 elastic/net analysis. Nevertheless, there were limita-
555 tions to our study. First, our cohort is small when
556 compared to previous studies. Second, although none
557 of our carriers met diagnostic criteria for FTD, some
558 of them received a CDR score of 0.5 for question-
559 able symptoms and it is arguable if we can describe
560 these patients as pre-symptomatic or if defining an
561 MCI-FTD stage subgroup could be more appropri-
562 ate. A CDR 0.5 allows a suspicion of early dementia,
563 meaning that the subject shows consistent changes in
564 cognitive function or functional impairments, even
565 if they do not fulfill diagnostic criteria for FTD. It
566 would be also desirable to know the expected time to
567 onset for each subject, as it was reported in previous
568 studies, and it would also help clarify the meaning of
569 the CDR 0.5 subjects in this sample. As the specific
570 underlying mutation may affect the pattern of atrophy
571 [40], a subgroup analysis would have been desir-
572 able, but this was not possible due to the size of our
573 sample. Specifically, patients carrying IVS10+16,
574 IVS10+3, N279K, and S305N mutations show the
575 most severe grey matter loss in the anterior temporal
576 lobe, especially the medial structures. Patients with
577 P301L or V337M mutations also show severe gray
578 matter loss in the anterior temporal lobe, but unlike
579 in our study, with a relative sparing of the medial
580 temporal lobe and greater atrophy observed in more
581 inferior and lateral temporal regions [40]. In addi-
582 tion, there is evidence that P301L and V337M FTD

patients exhibit severe atrophy of the basal ganglia, a finding that was observed in our cohort of *MAPT* mutation carriers as a whole. These differences in patterns of atrophy between *MAPT* mutations may be secondary to their effects in the splicing of exon 10 and in the structural and functional properties of the resulting tau protein [52]. Larger samples exploring how different mutations result in diverse atrophy profiles are needed.

In conclusion, this study provides additional data regarding early structural changes in a homogeneous sample of preclinical *MAPT* mutation carriers, adding to previous reports [20]. In our sample, atrophy was detected in preclinical mutation carriers compared to related non-carriers. Temporal lobe (left amygdala, left temporal pole), cingulate cortex (left rostral anterior cingulate gyrus, right posterior cingulate), and the lingual gyrus seem to be early targets of the disease. Regarding white matter, we did not find differences in white matter hyperintensities or DTI analysis after adjusting for age.

Although this cross-sectional study offers valuable information, we continue to follow these patients in a longitudinal study design so we can assess atrophy rates across time. The degree to which FTD spreads between neighboring regions of the brain versus following a functional network comprised of spatially separated brain regions is still under investigation.

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SUPPLEMENTARY MATERIAL

The supplementary material is available in the electronic version of this article: <https://dx.doi.org/10.3233/JAD-190820>.

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