

Costs During the Last Five Years of Life for Patients with Clinical and Pathological Confirmed Diagnosis of Lewy Body Dementia and Alzheimer's Disease

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Abstract.

Background: Little is known regarding healthcare expenditures for patients with dementia with Lewy bodies (DLB) during the end of life.

Objective: This study estimated Medicare expenditures during the last 5 years of life in a decedent sample of patients who were clinically diagnosed with Alzheimer's disease (AD) or DLB and had autopsy confirmed diagnosis.

Methods: The study included 58 participants clinically diagnosed with mild dementia at study entry (AD: $n = 44$, DLB: $n = 14$) and also had autopsy-confirmed diagnoses of pure AD ($n = 32$), mixed AD+Lewy body (LB) ($n = 5$), or pure LB ($n = 11$). Total Medicare expenditures were compared by clinical and pathology confirmed diagnosis, adjusting for sex, age at death, and patient's cognition, function, comorbidities, and psychiatric and extrapyramidal symptoms.

Results: When pathology diagnoses were not considered, predicted annualized total Medicare expenditures during the last 5 years of life were similar between clinically diagnosed AD ($\$7,465 \pm 1,098$) and DLB ($\$7,783 \pm 1,803$). When clinical diagnoses were not considered, predicted expenditures were substantially higher in patients with pathology confirmed mixed AD+LB ($\$12,005 \pm 2,455$) than either pure AD ($\$6,173 \pm 941$) or pure LB ($\$4,629 \pm 1,968$) cases. Considering clinical and pathology diagnosis together, expenditures for patients with clinical DLB and pathology mixed AD+LB ($\$23,592 \pm 3,679$) dwarfed other groups.

Conclusion: Medicare expenditures during the last 5 years of life were substantially higher in patients with mixed AD+LB pathology compared to those with pure-AD and pure-DLB pathologies, particularly in those clinically diagnosed with DLB. Results highlight the importance of having both clinical and pathology diagnoses in examining healthcare costs.

Keywords: Alzheimer's disease, clinical diagnosis, cost of care, dementia with Lewy bodies, Medicare claims, pathology confirmed diagnosis

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INTRODUCTION

Dementia with Lewy bodies (DLB) is a neurodegenerative disorder reported as being the second most common dementia subtype in older people following Alzheimer's disease (AD) [1, 2]. Clinically, DLB is characterized by dementia with fluctuating cognition with deficits in the extrapyramidal motor system, hallucinations or other psychiatric symptoms, REM sleep behavior disorder, and autonomic dysfunction with syncope and falls [3]. Most [4–15], though not all [16–18], studies have reported that patients with DLB have worse outcomes than patients with AD, including more rapid cognitive and functional decline, increased risk of institutionalization, greater risk of falls and fractures, and shorter survival.

As the second most common form of dementia following AD, our understanding of healthcare utilization and costs in DLB continues to be limited. Several, though not all studies have reported a higher estimated cost of care associated with DLB compared to AD [19–26]. Part of these inconsistencies may be due to changes over time in clinical diagnosis guidelines [27]. Diagnostic uncertainties, missed diagnosis, delay in diagnosis, and misdiagnosis are common and also may have hampered these estimates [28–30].

Pathological confirmation is a gold standard of disease diagnosis. Approximately 50% of patients with Lewy body (LB) pathology at postmortem examination did not have characteristic clinical profile of DLB during life but had high levels of AD neuropathological change [31]. Similarly, between one third and one half of cases clinically diagnosed with AD show some degree of LB pathology at autopsy [31]. Whether clinical diagnosis and pathology-confirmed diagnosis are associated with healthcare costs has yet to be examined.

A recent study documenting end of life (EOL) experiences of individuals with DLB and their families reported several DLB-specific barriers to quality EOL care, including diagnostic challenges, lack of knowledge regarding DLB and resultant prescribing errors, and difficulty accessing resources due to behavioral changes in DLB [32]. Little is known regarding EOL expenditures for DLB patients. To fill some of these gaps, we explore in the current study healthcare expenditures in the last 5 years of life in patients clinically diagnosed with AD and DLB who have pathology confirmed diagnosis.

METHODS

Study participants and sample selection

The participants of the current study were from the Predictors 2 study, a cohort of patients who were clinically diagnosed with mild dementia³⁴predominantly AD but also DLB [33]. Recruitment of this cohort was initiated in 1997 at three sites: Columbia University, Johns Hopkins University, and Massachusetts General Hospital. Participants were then followed up every 6 months with repeated clinical measurements including medical, neuropsychological, functional, and dependence measures. AD was clinically diagnosed according to NINCDS-ADRDA criteria ($n=221$) [34] and DLB was diagnosed according to the 1996 Consensus Guidelines ($n=28$) [35]. 78 patients donated brains and have pathological data available. Of these 78, 62 participants had autopsy-confirmed diagnosis of AD ($n=34$), mixed AD+LB ($n=17$), or LB ($n=11$). Sixteen participants who did not have α -synuclein immunohistochemistry staining to confirm the presence of Lewy body disease/synucleinopathy, seven participants who did not have pathological features required for AD or DLB diagnosis, and one participant who had no follow-up visits to assess clinical trajectory were excluded from the current analysis. Pathological categorization for each case into AD, DLB, or AD-DLB was based on review of neuropathologic reports and slides if necessary and staging of AD and Lewy body pathology as outlined in the National Institute on Aging-Alzheimer's Association (NIA-AA) pathologic assessment of AD and Lewy body disease [36]. Details of clinical and pathological diagnosis procedures were reported previously [15]. We further excluded four participants who did not have Medicare claims. Differences in participants' age, sex, education, and clinical symptoms at study enrollment between excluded and included samples were not statistically significantly different. The project was approved by the Institutional Review Board at each study sites. All patients and their proxy decision makers provided written informed consent.

Medicare claims

Individuals were matched to Medicare Beneficiary Summary files using social security number and Medicare beneficiary ID. Medicare expenditures data were obtained from Medicare Standard Analytic Files (SAFs) and included all covered

services (inpatient, outpatient, professional, emergency department, physician office visits, hospital outpatient visits, hospice, skilled nursing facility, home health, and durable medical supplies) in 6-month intervals from date of death to 5 years prior to death. Total expenditures in the last 5 years of life and average annual expenditures were summed. Expenditures were adjusted to 2021\$ using the medical care component of the Consumer Price Index [37].

Patient characteristics

Participants underwent detailed cognitive and clinical assessment at baseline and at approximately 6-month intervals until drop out or death. Global cognitive status was assessed with the Folstein Mini-Mental State Examination (MMSE) (0–30, a higher score indicating better cognitive performance). Functional capacity was reported by the patient's reliable informant using the Blessed Dementia Rating Scale (BDRS) Activities of Daily Living (ADL) sub-score [38], including seven instrumental ADL (IADL) items and three basic ADL items. Total ADL score was the sum of scores on all 10 items (range: 0–16), with higher scores indicating worse functional capacity. The ADL scale has good reliability and validity, with reliability coefficients reported to be between 0.60 and 0.80 [38]. Columbia University Scale for Psychopathology in Alzheimer's Disease (CUSPAD) was used to measure patients' psychotic, behavioral, and depressive symptoms [39]. The Unified Parkinson's Disease Rating Scale (UPDRS) [40] was used to measure extrapyramidal signs (EPS) and treated as a binary variable with 1 indicating severity rating of mild-to-moderate or greater on any item [41]. Patients' age at death, sex, and highest level of education were recorded. An Elixhauser comorbidities index was constructed using all ICD-9-CM diagnosis codes in all Medicare SAFs for the last 5 years of life [42, 43].

Statistical analyses

Demographic and clinical characteristics were summarized by mean and standard deviation (SD) for continuous variables and by frequency and proportions for categorical variables. The measures were compared among clinical diagnosis and autopsy-confirmed diagnosis groups using Kruskal-Wallis test for continuous variables and Chi-square test for categorical variables.

We estimated the association between average Medicare costs per year during the last 5 years of life and clinical and pathological diagnosis using generalized linear models (GLM). The main independent variables were the clinical diagnosis (AD versus DLB), confirmed pathology (pure AD, pure LB, and mixed AD+LB), and their interaction terms. We also estimated models adjusted for 1) demographics including age at death, sex, number of comorbidities, 2) demographics, MMSE, and BDRS 5 years prior to death, and 3) demographics, MMSE, BDRS, and extrapyramidal signs and psychotic symptoms 5 years prior to death. The Modified Park test (i.e., GLM family test), was used to identify the appropriate distribution, and the Pregibon Link test used to examine linearity of response on scale of estimation. The Modified Park test suggested that the normal (Gaussian) distribution with an identity link (i.e. ordinary linear regression models) function provided the best fit for the data.

All analyses were conducted using Stata 16.0. Due to the exploratory nature of this analysis, statistical significance level was defined as $p < 0.05$ *a priori* without corrections for multiple comparisons.

RESULTS

Patient characteristics

Half of the participants in the current study were female. Age at death was 80.2 ± 8.8 (mean \pm SD) years old, and years of education was 15 ± 2.9 (Table 2). Five years prior to death, participants had an average of 2 ± 1.9 comorbid conditions, average MMSE was 18.3 ± 6.4 , and BDRS was 10.9 ± 4.5 . Most had extrapyramidal signs 83%, and 52% had psychotic symptoms.

44 participants had a clinical diagnosis of AD (75.9%) and 14 (14.1%) had a clinical diagnosis of DLB (Table 1). At autopsy, 32 (55.2%) were confirmed to have AD pathology, 11 (19%) were confirmed to have DLB pathology, and 15 (25.9%) had mixed AD+LB pathology. Of the 44 participants with a clinical diagnosis of AD, 30 (68.2%) were confirmed to have pure AD pathology, 4 (9.1%) were confirmed to have pure LB pathology, and 10 (22.7%) had both AD and LB. Of the 14 participants with a clinical diagnosis of DLB, 7 (50%) were found to have pure LB neuropathologic changes in the autopsy, 2 were confirmed to have pure AD pathology, and 5 (35.7%) had both AD and LB pathology.

Table 1
Number of patients with clinical and pathology confirmed diagnosis

Clinical diagnosis	Pathology confirmed diagnosis			Total
	Pure AD	Pure LB	Mixed AD+LB	
AD	30	4	10	44
DLB	2	7	5	14
Total	32	11	15	58

Compared to patients clinically diagnosed with AD, those clinically diagnosed with DLB died younger (DLB: 76.2 ± 9.6 , AD: 81.5 ± 8.3 , $p=0.05$), and were marginally more likely to have extrapyramidal symptoms (DLB: 100%, AD: 78.6%, $p<0.10$) and psychiatric symptoms (DLB: 75.0%, AD: 45.2%, $p=0.07$) five years prior to death. Hallucinations (DLB: 667%, AD 11.9%, $p<0.001$) and illusions (DLB 25.0% AD: 4.9%, $p=0.04$) were higher in DLB than AD patients.

Looking at pathology confirmed diagnosis, those with AD pathology were older at death (pure AD: 81.8 ± 8.7 , pure LB: 77.2 ± 8.4 ; mixed AD+LB: 78.9 ± 8.9 , $p=0.04$). Five years prior to death, hallucinations (pure AD: 20%, pure LB: 56%; mixed AD+LB: 13%, $p=0.04$) were significant higher in those with DLB pathology.

Medicare expenditures

During the last 5 years of life, unadjusted annualized total Medicare expenditures were higher in patients clinically diagnosed DLB ($\$11,780 \pm 9,415$) than in those clinically diagnosed with AD ($\$7,216 \pm 7,583$, $p=0.06$). Unadjusted annualized total Medicare expenditures during the last 5 years of life were higher in patients with mixed AD+LB pathology ($\$11,341 \pm 11,239$) than in those with pure AD ($\$7,065 \pm 7,280$) and pure LB ($\$7,839 \pm 4,949$) pathologies, but these differences were not statistically significant (Table 2, also Table 3, Models 1 and 2).

We computed total Medicare expenditures in 6-month intervals for the last 5 years of life by clinical and pathology diagnosis. For 8 of the 10 6-month intervals, Medicare expenditures for clinically diagnosed DLB patients were higher than those for AD patients. However, because there were substantial variations in expenditures during each 6-month intervals, differences between DLB and AD groups were not statistically significant in any individual 6-month interval. Cumulative Medicare expenditures during the last 5 years of life in 6-month increments are pre-

sented by clinical diagnosis (Fig. 1) and pathology confirmed diagnosis (Fig. 2).

Models 3–6 in Table 3 show estimated relationships between Medicare expenditures when both clinical and pathology-confirmed diagnoses are considered. Model 3 did not include any additional control variables, Model 4–6 additional controlled for demographics, dementia severity measures, and DLB specific clinical characteristics. Although the magnitude of the estimated coefficients varied across models, the direction of the estimates was consistent.

In the fully adjusted model (Table 3, model 6), the negative coefficient estimate (beta \pm SE = -8134 ± 3166 , $p<0.05$) on clinical DLB suggests that in patients with pure AD (reference group), expenditures were lower in those clinically diagnosed as DLB than those clinically diagnosed with AD. However, such lower expenditures in clinically diagnosed DLB versus AD among pure AD cases was offset substantially if the patients carried mixed AD+LB pathologies rather than pure AD, as reflected by the significant positive coefficient on the interaction term ‘pathology confirmed mixed AD+LB * clinical DLB’ (beta \pm SE = 20623 ± 8499 , $p<0.05$), suggesting that expenditures were higher for those clinically diagnosed with DLB than for those clinically diagnosed with AD among those with mixed AD+LB pathology. The coefficient on the interaction term “pathology confirmed pure LB * clinical DLB” (beta \pm SE = $2,727 \pm 3,728$) was not statistically significant, suggesting that the lower expenditure of clinical DLB compared to clinical AD was similar in pure LB patients as in pure AD patients.

None of the coefficient estimates on the pathology diagnoses were statistically significant, suggesting that in patients clinically diagnosed with AD (reference group), differences in expenditures by pathology diagnosis were not statistically significant. The coefficient estimates on the interaction term on pathology confirmed mixed AD+LB and clinical DLB were statistically significant for all models, suggesting that for those clinically diagnosed with DLB, expendi-

Table 2
Participant characteristics by clinical and pathology confirmed diagnosis

Variable	Clinical diagnosis				p	Variable	Pathology confirmed diagnosis					p	
	AD (n = 44)		DLB (n = 14)				pure AD (n = 32)		pure LB (N = 11)		mixed AD+LB (n = 15)		
Pathology diagnosis, N (%)						Clinical diagnosis, N (%)							
pure AD	30	68.2%	2	14.3%	<0.001	AD	30	93.8%	4	36.4%	10	66.7%	<0.001
pure LB	4	9.1%	7	50.0%		DLB	2	6.3%	7	63.6%	5	33.3%	
mixed AD+LB	10	22.7%	5	35.7%									
Age at death, y, mean (SD)	81.5	(8.3)	76.2	(9.6)	0.05	Age at death, y, mean (SD)	81.8	(8.7)	77.2	(8.4)	78.9	(8.9)	0.04
Female, N (%)	23	52.3%	6	42.9%	0.54	Female, N (%)	17	53.1%	2	18.2%	10	66.7%	0.04
Education, Mean, y (SD)	15.2	(3.0)	15.0	(2.7)	0.85	Education, Mean, y (SD)	14.8	(3.0)	15.6	(2.9)	15.6	(2.7)	0.55
Clinical characteristics 5 years prior to death						Clinical characteristics 5 years prior to death							
MMSE, y, mean (SD)	17.8	(6.5)	19.9	(5.9)	0.31	MMSE, y, mean (SD)	18.0	(6.5)	21.4	(5.1)	16.4	(6.7)	0.11
BDRS-ADL, mean (SD)	10.7	(3.8)	11.3	(6.9)	0.82	BDRS-ADL, mean (SD)	11.1	(3.6)	8.2	(5.6)	12.2	(5.2)	0.10
Extrapyramidal symptoms, N (%)	35	78.6%	14	100.0%	0.10	Extrapyramidal symptoms, N (%)	24	80.0%	8	100.0%	11	78.6%	0.37
Any psychiatric symptom, N (%)	20	45.2%	11	75.0%	0.07	Any psychiatric symptom, N (%)	14	46.7%	7	77.8%	7	46.7%	0.23
Delusion	17	40.5%	6	50.0	0.56	Delusion	11	36.7	5	55.6	7	46.7%	0.56
Hallucination	5	11.9%	8	66.7	<0.001	Hallucination	6	20.0	5	55.6	2	13.3	0.04
Illusion	2	4.9%	3	25.0	0.04	Illusion	2	6.9	1	11.1	2	13.3	0.77
Elixhauser comorbidities index, mean (SD)	2.1	(1.8)	2.1	(2.2)	0.93	Elixhauser comorbidities index, mean (SD)	2.3	(2.0)	2.3	(1.8)	1.7	(1.8)	0.52
Annualized total Medicare Expenditures during last 5 years of life, mean (SD)	7,216	(7,583)	11,780	(9,415)	0.09	Annualized total Medicare Expenditures during last 5 years of life, mean (SD)	7,065	(7,280)	7,839	(4,949)	11,341	(11,239)	0.46

MMSE, Folstein Mini-Mental State Examination; BDRS, Blessed Dementia Rating Scale Between-group differences were tested using Kruskal-Wallis test for continuous variables and Chi-square test s.

Table 3
Association between clinical and pathology diagnosis with average annual Medicare expenditures in the last 5 years of life

	Model 1 b (SE)	Model 2 b (SE)	Model 3 b (SE)	Model 4 b (SE)	Model 5 b (SE)	Model 6 b (SE)
Clinical diagnosis (reference = AD)						
clinical DLB	4,564 + (2,723)		-1,210 (1,606)	-4,443 * (2,113)	-7,783 * (2,790)	-8,134 * (3,166)
Pathology diagnosis (reference = pure AD)						
pure LB		774 (1,956)	1,431 (2,454)	-723 (2,071)	-2,426 (2,696)	-3,242 (2,848)
mixed AD+LB		4,275 (3,159)	33 (3,254)	951 (3,000)	740 (3,162)	1,169 (3,360)
Interaction between clinical and pathology diagnosis						
Pathology confirmed pure LB, Clinical DLB			-844 (3,283)	4,284 (3,866)	3,338 (3,600)	2,727 (3,728)
Pathology confirmed mixed AD+LB, Clinical DLB			12,898 * (5,646)	18,725 * (4,907)	20,953 * (6,886)	20,623 * (8,499)
Age at death				9 (113)	15 (126)	-39 (142)
Female				-4,098 * (1,966)	-4,381 + (2,346)	-3,593 (2,288)
Elixhauser comorbidities index				1,753 * (641)	1,823 * (781)	2,318 * (831)
BDRS					287 (172)	260 (172)
MMSE					-41 (263)	-158 (296)
Extrapyramidal symptoms						4,302 (3,653)
Any psychiatric symptom						-1,476 (2,591)

+ $p < 0.10$ * $p < 0.05$ Independent variables included in Model 1 are indicator for clinical diagnosis (DLB versus AD) only. Model 2 includes indicators for confirmed pathology (pure AD, pure LB, and mixed AD+LB) only. Model 3 includes indicator for clinical diagnosis, indicators for confirmed pathology, and their interaction terms. Model 4 additionally included demographic characteristics, including age at death, sex, number of comorbidities. Model 5 additionally included dementia severity measures, MMSE, and BDRS 5 years prior to death. Model 6 (Fully adjusted model) additionally included extrapyramidal signs and psychotic symptoms 5 years prior to death.

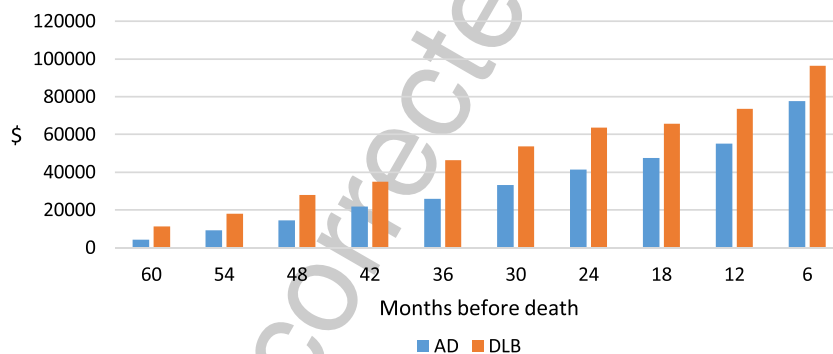


Fig. 1. Unadjusted cumulative Medicare expenditures during the last 5 years of life, by clinical diagnosis. Medicare expenditures data were obtained from Medicare Standard Analytic Files (SAFs) and included all covered services (inpatient, outpatient, professional, emergency department, physician office visits, hospital outpatient visits, hospice, skilled nursing facility, home health, and durable medical supplies) in 6-month intervals from date of death to 5 years prior to death. Expenditures were adjusted to 2021\$ using the medical care component of the Consumer Price Index.

314 tures were substantially higher in those with mixed
315 AD+LB pathology than in those with pure AD or pure
316 LB.

317 Tables 4 shows predicted Medicare expenditures
318 from Model 4, which includes clinical and pathol-

ogy diagnosis and their interactions and demographic
319 characteristics, but does not adjust for the subject's
320 clinical features that might drive costs of care (e.g.,
321 BDRS, MMSE, EPS, any psychotic symptoms), and
322 the fully adjusted model (Model 6). Results show
323

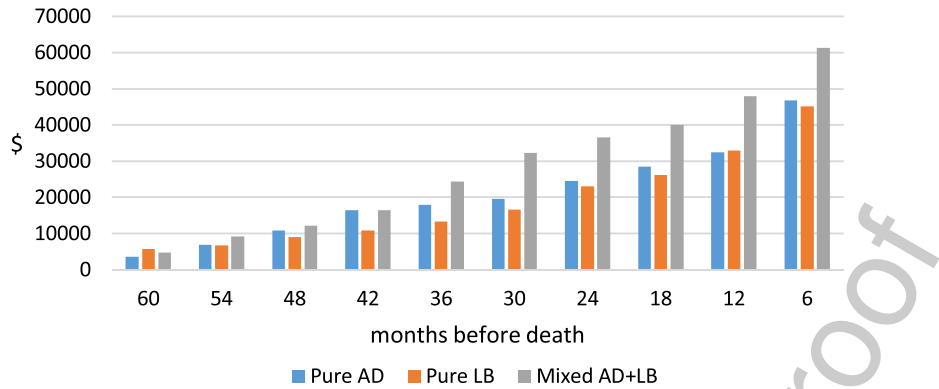


Fig. 2. Unadjusted cumulative Medicare A+B Payment, last 5 years of life, by pathology confirmed diagnosis. Medicare expenditures data were obtained from Medicare Standard Analytic Files (SAFs) and included all covered services (inpatient, outpatient, professional, emergency department, physician office visits, hospital outpatient visits, hospice, skilled nursing facility, home health, and durable medical supplies) in 6-month intervals from date of death to 5 years prior to death. Expenditures were adjusted to 2021\$ using the medical care component of the Consumer Price Index.

Table 4
Predicted average annual Medicare expenditures in the last 5 years of life, by clinical and pathology confirmed diagnosis

Clinical diagnosis, disregarding pathology diagnosis	Model 4		Model 6	
	Predictive margin	Std. Err.	Predictive margin	Std. Err.
Clinical AD	7,259	(945)	7,465	(1,098)
Clinical DLB	8,472	(1,604)	7,783	(1,803)
Pathology diagnosis, disregarding clinical diagnosis				
Pathology confirmed pure AD	6,078	(835)	6,173	(941)
Pathology confirmed pure <i>DHLB</i>	6,389	(1,431)	4,629	(1,968)
Pathology confirmed mixed AD+DLB	11,549	(2,506)	12,005	(2,455)
Clinical+Pathology confirmed diagnosis				
Clinical AD, Pathology pure AD	7,151	(1,166)	7,466	(1,302)
Clinical AD, Pathology pure <i>DHLB</i>	6,427	(1,527)	5,415	(2,146)
Clinical AD, Pathology mixed AD+ <i>DHLB</i>	8,101	(2,824)	8,694	(2,917)
Clinical DLB, Pathology pure AD	2,708	(1,415)	1,650	(2,042)
Clinical DLB, Pathology pure <i>DHLB</i>	6,268	(2,833)	1,878	(3,071)
Clinical DLB, Pathology mixed AD+ <i>DHLB</i>	22,384	(4,493)	23,592	(3,679)

Estimates are derived from Model 4 (Table 3), which included indicators for clinical diagnosis, indicators for confirmed pathology, and their interaction terms, as well as demographic characteristics; and also from fully adjusted Model 6 (Table 3), which additionally included dementia severity measures, and extrapyramidal signs and psychotic symptoms 5 years prior to death.

that, when pathology diagnoses were not considered, predicted expenditures were similar between clinically diagnosed AD (\$7,465 ± 1,098) and DLB (\$7,783 ± 1,803). When clinical diagnoses were not considered, predicted expenditures were substantially higher in patients with pathology confirmed mixed AD+LB (\$12,005 ± 2,455) than either pure AD (\$6,173 ± 941) or pure LB (\$4,629 ± 1,968) cases. Considering clinical and pathology diagnosis together, expenditures for patients with clinical DLB and pathology mixed AD+LB (\$23,592 ± 3,679) dwarfed other groups.

Results from models 4–6 which additionally controlled for demographics, dementia severity measures, and DLB specific clinical characteristics

showed that number of comorbidities was associated with higher Medicare expenditures. Being female was associated with lower expenditures, but the estimates were no longer statistically significant once dementia severity and DLB specific clinical characteristics were controlled for.

DISCUSSION

In this study, we estimated Medicare expenditures during the last 5 years of life in a decedent sample of patients who were clinically diagnosed with AD or DLB and had autopsy confirmed diagnosis of pure-AD, pure-DLB, or AD+DLB. Consistent with much of the existing literature [19–26], our

352 results showed that without considering the under- 404
353 lying pathology, patients clinically diagnosed with 405
354 DLB had higher expenditures than those clinically 406
355 diagnosed with AD. Results add to the current litera- 407
356 ture and further showed that expenditures differed by 408
357 underlying pathology groups. Specifically, we found 409
358 that expenditures were substantially higher in patients 410
359 with mixed AD+LB pathology compared to those 411
360 with pure-AD and pure-DLB pathologies. A closer 412
361 look at the interaction between clinical and pathology 413
362 diagnoses showed that expenditures were particu- 414
363 larly high in patients with mixed AD+LB pathologies, 415
364 especially in those clinically diagnosed with DLB. 416

365 Our results should be considered in the context of 417
366 our current understanding of costs associated with 418
367 DLB. Healthcare expenditures vary tremendously. A 419
368 number of recent studies have therefore reported on 420
369 the cost of care associated with DLB as compared 421
370 to AD using large administrative databases [19–26]. 422
371 While administrative databases are a rich source of 423
372 information on use and costs of healthcare use and 424
373 costs, diagnosis codes documented in administrative 425
374 claims are primarily for reimbursement purposes. 426
375 Issues related to the substantial under-diagnosis, 427
376 missed diagnosis, or mis-diagnosis of DLB, AD, and 428
377 other dementias in the claims data that have been 429
378 reported in the literature pose significant challenges 430
379 in our understanding of healthcare costs when anal- 431
380 yses rely solely on claims data. Compared to the 432
381 claims-based studies, studies that relied on clini- 433
382 cally diagnosed DLB patients remain small [19–21]. 434
383 Our sample size, with 14 clinically diagnosed DLB 435
384 patients and 44 clinically diagnosed AD cases, is on 436
385 par with what has been reported in the literature to 437
386 date (N = 15 [19], N = 34 [20]). The cohort included 438
387 in the current analysis all have pathology confirmed 439
388 diagnosis. To the best of our knowledge, this is the 440
389 first study that examined cost of care in autopsy con- 441
390 firmed DLB patients.

391 Because of the small sample size of the cohort, our 442
392 results are best considered as exploratory. Although 443
393 our ability to examine healthcare costs in more 444
394 detail or to perform additional subgroup analyses are 445
395 limited, we explored the most frequent primary diag- 446
396 noses in Part B claims for each group of patients. 447
397 Among patients with pure AD pathology, AD was the 448
398 most commonly reported primary diagnosis, account- 449
399 ing for 4.8% of all Part B claims in these patients; 450
400 no other dementia diagnosis was among the top 451
401 10 primary diagnosis. Among patients with pure 452
402 LB pathology, the most commonly reported primary 453
403 diagnosis included AD, dementia in conditions clas-

sified elsewhere, and DLB, accounting for 3.0%, 404
2.9%, and 1.7% of all Part B claims in these patients. 405
In patients with mixed AD+LB pathology, AD was 406
the most commonly reported primary diagnosis, fol- 407
lowed by vascular dementia, accounting for 4.7% and 408
1.8% of all Part B claims in these patients; DLB was 409
rarely documented as a primary diagnosis (0.5% of all 410
claims). Differences in these primary diagnoses raise 411
the question of potential misdiagnosis in patients with 412
mixed AD+LB pathology. Advances in PET, cere- 413
brospinal fluid, and blood tests might lead to more 414
accurate diagnosis. However, currently costs associ- 415
ated with these tests and their lack of availability are 416
likely to make their use in clinical settings impracti- 417
cal. 418

419 Other limitations of the study include the clinic- 420
based sample being predominantly white and highly 421
educated, limiting the generalizability of the find- 422
ings. We only examined Medicare, which does not 423
cover nursing home care and personal care services 424
often needed by patients with dementia. Patients with 425
a clinical diagnosis of AD or DLB can have different 426
distributions of underlying pathologies. This may in 427
part lead to the variation in the clinical symptoms. 428
Nevertheless, results from our study showed that it 429
was the underlying pathologies that were most closely 430
aligned with disease cost.

431 In conclusion, our results point to the gaps in 432
our understanding of healthcare costs in DLB and 433
highlight the importance of having both clinical and 434
pathology diagnoses in examining healthcare costs. 435
With the high prevalence of DLB in an aging popula- 436
tion and extremely high societal burden of healthcare 437
costs, it is critical to improve current understanding 438
of costs of care among patients with DLB in order to 439
inform public policies and clinical decision-making, 440
as this will ultimately improve the quality of patient 441
care.

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CONFLICT OF INTEREST

Y Stern receives consulting fees from Eisai, Lilly, and Arcadia.

All other authors have no conflict of interest to report.

DATA AVAILABILITY

The data supporting the findings of this study are available on request from the corresponding author. The data are not publicly available due to privacy or ethical restrictions.

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